

GAO

Report to the Chairman, and Ranking
Democratic Member, Committee on
Transportation and Infrastructure,
House of Representatives

September 1999

COMMERCIAL MARITIME INDUSTRY

Supplemental Information on Federal Assessments



COMMERCIAL MARITIME INDUSTRY

Updated Information on Federal Assessments

GAO/RCED-99-260

Data Pages on Individual Assessments Currently Levied and Proposed to Be Levied on the Commercial Maritime Industry by Federal Agencies

(Note: Select the “hand tool” at top left portion of screen. Put hand over topics below to be linked to information about this file or go straight to “Data Pages: Table of Contents.”)

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The following are instructions and helpful hints for using this file:

- **Contents of this PDF file.**
- **Organization of this PDF file.**
- **Format of individual data pages.**
- **How to use this PDF file.**
 - **Viewing**
 - “Bookmarks.”
 - “Data Pages: Table of Contents.”
 - Within each data page.
 - **Printing.**

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Contents of This PDF File

This file contains

- data pages on each federal assessment currently levied by federal agencies on the maritime industry (124 assessments) and
 - data pages on each federal assessment currently proposed by federal agencies to be levied on the maritime industry (2 assessments).
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Organization of This PDF File

- Current Assessments by
 - federal agency (alphabetical) and
 - assessment name (alphabetical).
- Proposed Assessments by
 - federal agency (alphabetical) and
 - assessment name (alphabetical).

Note: Many data pages have footnotes. If a data page has a footnote(s), a table of the footnotes for each agency can be found at the end of the data pages for each federal agency.

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Format of Individual Data Pages

Each data page is made up of the following pieces of information:

- Assessment name.
- Description of the assessment.
- Agency that levies the assessment.
- Type of service provided, tax, or duty associated with assessment.
- Type of vessel the assessment is levied on.
- Commerce type of vessel the assessment is levied on.
- Flag type of vessel the assessment is levied on.
- Payor of the assessment.
- Entity that collects the assessment.
- Type of fund that receives the collections.
- Entity that uses the collections.
- Formula and frequency of assessment.
- Collection amounts for
 - FY 1989 through FY 1991,
 - FY 1996 through FY 1998, and
 - estimated collections for FY 1999.
- Collection limitations.
- Laws and regulations.



How to Use This PDF File

Viewing

Use the “Bookmarks” and the “Data Pages: Table of Contents” page to maneuver through the document.

Bookmarks:

- Click on the “Data Pages: Table of Contents” bookmark located on the left-hand side of the screen. This will take you to a list of the federal agencies that currently levy and propose to levy assessments on the maritime industry. This list will also tell you how many assessments each agency currently levies in addition to the number of assessments that are currently being proposed by each agency.

Table of Contents:

- Click on the federal agency you are interested in seeing assessments for, and you will be linked to this portion of the file. Scroll through the document to see all the assessments. To return to the table of contents--to select another federal agency--click on the “Data Pages: Table of Contents” bookmark located on the left side of the screen.

Within each data page:

- Scroll through the data page to locate the information you are interested in finding. (Note: Each data page is 2 pages long.)
- To see the footnotes, simply click on the footnote, and you will be linked to the footnote page for that particular agency. Before you link to the footnote table, make note of the name of the assessment you are viewing or the page number so you can get back to it.

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How to Use This PDF File

Printing

- **Print the file in portrait orientation.**
- **The entire file printed consists of 250-plus pages.**

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Current Assessment Levied by the:	Number of Assessments
Animal, Plant, and Health Inspection Service, U.S. Dept. of Agriculture	3
Centers for Disease Control and Prevention, U.S. Dept. Health and Human Services	1
Coast Guard, U.S. Dept. of Transportation	25
Customs Service, U.S. Treasury	13
Federal Communications Commission	8
Federal Maritime Commission	22
Grain Inspection, Packers, and Stockyards Administration, U.S. Dept. of Agriculture	1
Internal Revenue Service, U.S. Treasury	3
Maritime Administration, U.S. Dept. of Transportation	8
National Marine Fisheries Services, National Oceanic and Atmospheric Administration	23
Panama Canal Commission	17
Assessments Proposed by the:	
Administration	1
National Marine Fisheries Services, National Oceanic and Atmospheric Administration	1

Current Assessment Levied by the:**Animal, Plant, and Health Inspection Service, U.S. Dept. of Agriculture****Name of Assessment:**

Agricultural Quarantine and Inspection User Fee (for commercial vessels over 100 net tons)

Update:

Change

Description of Assessment:

Agricultural quarantine and inspection services are provided for vessels arriving at ports within the U.S. Customs territory or at preclearance sites outside the U.S. Customs territory

Type of Service:

Physical Services

Fund that receives collections:**Collected by:**

Customs Service

Individual agency or private-sector service provider

Used by:

USDA: APHIS

Commerce Type:

International (including coastwise travel)

Payor:

Owner (a)

Vessel Type:

Passenger and nonpassenger vessels of 100 net tons or more

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

A \$395.50 fee was effective October 1, 1996-August 31, 1997; a \$447.00 fee was effective September 1, 1997-September 30, 1997; and a \$454.50 fee has been effective since October 1, 1997. The assessment is due upon each vessel's arrival. (b)

Collection Limitations:

Capped at 15 arrivals per calendar year

Laws and Regulations:

Food, Agriculture, Conservation, and Trade Act of 1990, P.L. 101-624, §2508-2509, 104 Stat. 4071 as amended by Omnibus Budget Reconciliation Act of 1990, P. L. 101-508, §1203(a); 21 U.S.C. §136-136a; 7 C.F.R. §354, as amended by §504 of the Federal Agriculture Improvement and Reform Act of 1996, P. L. 104-127, 110 Stat. 890.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$0	c		NA
1990:	\$0	c		NA
1991:	\$5,368			NA
1996:	\$17,609		NA	NA
1997:	\$18,307			NA
1998:	\$23,224			NA
1999 (est.):	\$23,386			

Description of Exemption

Type of Vessel: Vessels (1) being used solely as a tugboat including tugboats towing vessels on the Great Lakes, (2) used as ferryboats, (3) U.S. or foreign government vessels not carrying persons or merchandise for commercial purposes including vessels of war and public vessels employed for the conveyance of letters and dispatches, and (4) arriving in districts or to take on bunkers, sea stores, or ships stores. Travel route: (1) foreign passenger vessels making at least three trips a week from a U.S. port to the high seas and returning to the same port, not having touched any foreign place except Canada or taken on any stores other than in Canada; (2) vessels that sail only between the United States and Canada; and (3) vessels originating in Hawaii and Puerto Rico that travel between and within the continental United States.

Current Assessment Levied by the:**Animal, Plant, and Health Inspection Service, U.S. Dept. of Agriculture****Name of Assessment:**

Export Health Certificate Endorsement Fees for Animals

Update:

Change

Description of Assessment:

This assessment is levied for commodity inspection services certifying that animals and birds being exported are free from disease or contamination. Certificate endorsements are not required by the U.S. government, and the services are provided only at the request of the exporter. However, most countries require the endorsements for exportation of animals into their country.

Type of Service:

Physical Services

Fund that receives collections:**Collected by:**

USDA: APHIS

Individual agency or private-sector service provider

Used by:

USDA: APHIS

Commerce Type:

International

Payor:

Exporter or broker

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

The assessment schedule is as follows: (1) \$24.50 for slaughter animals moving to Canada or Mexico, (2) \$26.25 for nonslaughter horses to Canada, (3) \$21.00 for poultry or hatching eggs, (4) \$21.50 for animal products, (5) \$16.50 for pets, and (6) \$52.50 - \$75.75 for certificates with tests and/or vaccinations (with an additional charge of \$3-\$6 for each additional animal). Assessment is due prior to the exporter's receipt of each endorsed certificate or when exporter is billed.

Collection Limitations:

None

Laws and Regulations:

Food, Agriculture, Conservation, and Trade Act of 1990, P. L. 101-624, §2509, 104 Stat. 4071; 21 U.S.C. §136-136a; 9 C.F.R. §130 as revised in 57 Fed. Reg. 755, January 9, 1992.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$0	d		NA
1990:	\$0	d		NA
1991:	\$0	d		NA
1996:	\$2,150	e	NA	NA
1997:	\$3,943	e		NA
1998:	\$3,928	e		NA
1999 (est.):	\$3,823	e		

Description of Exemption

Current Assessment Levied by the:**Animal, Plant, and Health Inspection Service, U.S. Dept. of Agriculture****Name of Assessment:**

Phytosanitary Certificate Fee for Plants and Plant Products

Update:

Change

Description of Assessment:

This assessment is levied when plants and plant products being exported are inspected to determine if they are free from plant pests. These certificates are not required by the U.S. government, and the services are provided only at the request of the exporter. However, most countries require the certificates for the exportation of plants and plant products into their country.

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

USDA: APHIS

Individual agency or private-sector service provider

Used by:

USDA: APHIS

Commerce Type:

International

Payor:

Exporter

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

The assessment schedule is as follows: (1) \$23 for certificates for noncommercial shipments and low-value shipments, (2) \$50 for certificates for commercial shipments, and (3) \$7 for any revised certificates (formula effective March 1, 1996). Assessment imposed upon each certificate's issuance.

Collection Limitations:

None

Laws and Regulations:

Food, Agriculture, Conservation, and Trade Act of 1990, P.L. 101-624, § 2508-2509, 104 Stat. 4071 as amended by Omnibus Budget Reconciliation Act of 1990, P.L. 101-508, §1203(a); 21 U.S.C. §136-136a; 7 C.F.R. § 354.3(g) as revised in 57 Fed. Reg. 755, January 9, 1992.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$0			NA
1990:	\$0			NA
1991:	\$0			NA
1996:	\$3,689	f	NA	NA
1997:	\$4,493	f		NA
1998:	\$4,529	f		NA
1999 (est.):	\$4,791	f		

Description of Exemption

APHIS	Footnote
a	The vessel master, licensed deck officer, purser, or broker usually pays the fee to Customs on behalf of the owner for the Agricultural Quarantine and Inspection User Fee for commercial vessels, the Barge / Bulk Carrier Fee, and the Commercial Vessel Fee.
b	The Agriculture Quarantine and Inspection User Fee for commercial vessels applies not only to vessels arriving in the United States from a foreign port, but it also applies to additional arrivals of U.S.-flag vessels proceeding coastwise from the first port of entry.
c	This assessment was not levied during fiscal years 1989 and 1990. The Customs Service began collecting this assessment for APHIS on July 1, 1991.
d	This assessment was not levied during this fiscal year.
e	This is the total amount collected for this activity. Most work is performed at port offices. APHIS officials estimate that approximately 5 percent to 10 percent of the collections are related to issuing export health certificates for animals transported by ocean vessel.
f	This is the total amount collected for this activity. APHIS officials estimate that approximately 8 percent of the phytos are written for ocean-going products.

Current Assessment Levied by the:**Centers for Disease Control and Prevention, U.S. Dept. Health and Human Services****Name of Assessment:**

Collection of Fees for Sanitation Inspection of Cruise Ships

Update:

Not in previous report

Description of Assessment:

Every vessel that has a foreign itinerary and that carries 13 or more passengers is subject to twice-yearly inspections and, when necessary, reinspection by VSP personnel

Type of Service:

Physical Services

Fund that receives collections:**Collected by:**

HHS: USPHS (CDC)

Individual agency or private-sector service provider

Used by:

HHS: USPHS (CDC)

Commerce Type:

Domestic and international

Payor:

Owner or operator

Vessel Type:

Passenger (vessels that carry 13 or more passengers)

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

The fee equals the average cost per inspection and is figured by dividing the total cost of the Vessel Sanitation Program by the weighted number of annual inspections. The average cost per inspection is then multiplied by a size/cost factor to determine the fee for vessels in each size category. The size/cost factor was established in the proposed fee schedule published in the Federal Register on July 17, 1987 (52 Fed. Reg. 27060) and revised in a schedule published in the Federal Register on November 28, 1989 (54 Fed. Reg. 48942). The fee is assessed at the completion of the inspection.

Collection Limitations:

None

Laws and Regulations:

Public Health Service Act, c. 373, § 361-369, 58 Stat. 704, 42 U.S.C. § 264-272, 42 C.F.R. 71; P. L. 99-591, § 101(i), Department of Labor, HHS and Education and Related Agencies Appropriations Act 1987, 52 Fed. Reg. 27060, July 17, 1987 and 54 Fed. Reg. 48942, November 28, 1989.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$1,123		NA	NA
1997:	\$1,074			NA
1998:	\$1,180			NA
1999 (est.):	\$1,300			

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Abstract of Title

Update:

Change

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S.
Treasury

Commerce Type:

Domestic and international

Payor:

Requestor

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; P.L. 100-710, 46 C.F.R. §. 67.301 (requirement); 46 C.F.R. §. 67.535 (fee).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$242	a	b	NA
1990:	\$576	a	b	NA
1991:	\$473	a	b	NA
1996:		c	NA	NA
1997:		c		NA
1998:		c		NA
1999 (est.):		c		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Approval of Exchange of Certificate of Documentation Requiring Mortgage Consent

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. § 9701; 46 C.F.R. § 67.509,
58 Fed. Reg. 60256, November 15,
1993.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$2,190	d	NA	NA
1997:	\$2,477	d		NA
1998:	\$2,978	d	e	NA
1999 (est.):	\$2,500	d		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Certificate of Compliance

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S.
Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. § 9701; 46 C.F.R. § 67.533,
58 Fed. Reg. 60256, November 15,
1993.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Certificate of Ownership

Update:

No change

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S.
Treasury

Commerce Type:

Domestic and international

Payor:

Requester

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.303 (requirement); 46 C.F.R. § 67.537 (fee) (Note: Previously, a statute authorized this assessment; currently, a regulation authorizes this assessment.)

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		f	b	NA
1990:		f	b	NA
1991:		f	b	NA
1996:		c	NA	NA
1997:		c		NA
1998:		c		NA
1999 (est.):		c		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Direct User Fees for Inspection and Examination of U.S. or Foreign Commercial Vessels

Update:

New

Description of Assessment:

All vessels are required to have Certificate of Inspection, Tank Vessel Examination Letter, or Letter of Compliance

Type of Service:

Physical Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S.
Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

(1) All vessels are placed in vessel fee categories on the basis of the type of vessel being inspected (Range: \$300-\$14,650).
(2) The vessel inspection fee is based on average costs of inspection provided by United States Coast Guard (USCG) Marine Inspectors over the period of the Certificate of Inspection for that particular vessel fee category.
(3) Foreign vessel examination fees are based on the average cost of examinations provided by USCG Marine Inspectors. This assessment is imposed (a) annually for vessels receiving a Certificate of Inspection and (b) per examination for vessels requesting Tank Vessel Examination or Letter of Compliance.

Collection Limitations:

None

Laws and Regulations:

46 C.F.R. § 2.10.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$13,159		NA	NA
1997:	\$10,522			NA
1998:	\$10,620	e		NA
1999 (est.):	\$10,700			

Description of Exemption

Vessel inspection user fees do not apply to (1) vessels being inspected for the initial issuance of a Certificate of Inspection, (2) foreign passenger vessels, (3) training vessels operated by state maritime academies, (4) public vessels of the United States except for Maritime Administration vessels, (5) publicly owned ferries, and (6) vessels owned or operated by a nonprofit organization (as defined in the Internal Revenue Code section 501 (c) may be exempted from payment of user fees.

The Commandant will waive collection of vessel inspection fees in this subpart for a federally owned or operated vessel if the fee would be directly paid by the agency acting as the vessel owner using federal appropriated funds.

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Evidence of Deletion from Documentation

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Requester

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.171 (requirement); 46 C.F.R. § 67.550 (fee); 58 Fed. Reg. 60266, November 15, 1993.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Evidence of Financial Responsibility for Water Pollution Certificate Fee

Update:

New (3 old assessments consolidated into 1 new assessment)

Description of Assessment:

This certificate provides evidence that the owner or operator of a vessel has met the requirements of the Oil Pollution Act of 1990 and the Comprehensive Environmental Response, Compensation, and Liability Act. The certificate documents that the owner or operator is financially responsible to cover liability of the owner, operator, and demise character of the vessel. Note: There are three different certificate types.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger vessels over 300 gross tons

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$150 with submission of initial application and \$80 for each certificate requested

Collection Limitations:

None

Laws and Regulations:

33 U.S.C. § 2716; 42 U.S.C. § 9608;
33 C.F.R. §138.130.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$755		NA	NA
1997:	\$942			NA
1998:	\$1,268			NA
1999 (est.):	\$772			

Description of Exemption

Public vessels are exempt as well as non-self-propelled barges that do not carry oil as cargo or fuel and do not carry hazardous substances as cargo.

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Exchange of Certificate of Documentation

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Note: This assessment was levied in 1993; however, it was levied on recreational vessels only; this assessment is now levied on commercial and recreational vessels.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.503; 58 Fed. Reg. 60266, November 15, 1993.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Filing and Recording: Bills of sales and Instruments in Nature of Bills of Sale

Update:

New (1 old assessment divided into 2 new assessments)

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Note: This assessment in the previous report was labeled as "Instruments of Conveyance and Encumbrance Recording Fee." This 1992 assessment was divided into two separate assessments; this assessment and "Filing and Recording: Mortgages and Related Information."

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.527; 58 Fed. Reg. 60226, November 15, 1993.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		f	b	NA
1990:		f	b	NA
1991:		f	b	NA
1996:		c	NA	NA
1997:		c		NA
1998:		c		NA
1999 (est.):		c		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Filing and Recording: Mortgages and Related Information

Update:

New (1 old assessment divided into 2 new assessments)

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Note: This assessment in the previous report was labeled as "Instruments of Conveyance and Encumbrance Recording Fee." This 1992 assessment was divided into two separate assessments; this assessment and "Filing and Recording: Bills of Sales and Instruments in Nature of Bills of Sale."

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner or mortgagee

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.529; 58 Fed. Reg. 60226, November 15, 1993.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		f	b	NA
1990:		f	b	NA
1991:		f	b	NA
1996:		c	NA	NA
1997:		c		NA
1998:		c		NA
1999 (est.):		c		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Filing and Recording: Notice of Claim of Lien and Related Instruments

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Lien claimant

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.531; 58 Fed. Reg. 60226, November 15, 1993.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Initial Certificate of Documentation

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Note: This assessment was levied in 1993; however, it was levied on recreational vessels only; this assessment is now levied on commercial and recreational vessels.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.141(requirement); 46 C.F.R. § 67.501(fee); 58 Fed. Reg. 60226, November 15, 1993.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Late Renewal Fee

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.517(fee); 58 Fed. Reg. 60226, November 15, 1993.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Miscellaneous Applications: Rebuild Determination--Preliminary or Final

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.177
(requirement); 46 C.F.R. § 67.525 (fee)

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Miscellaneous: Copy of Each Instrument or Document

Update:

Not in previous report

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S.
Treasury

Commerce Type:

Domestic and international

Payor:

Requester

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.539; 59 Fed.reg. 49847, September 30. 1994.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

New Vessel Determination

Update:

Change

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.175 (requirement); 46 C.F.R. § 67.521 (fee).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		f	b	NA
1990:		f	b	NA
1991:		f	b	NA
1996:		c	NA	NA
1997:		c		NA
1998:		c		NA
1999 (est.):		c		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Reimbursement of Travel and Subsistence Costs for Overseas Vessel Inspections

Update:

No change

Description of Assessment:

Reimbursement for Coast Guard inspectors' travel and subsistence costs when performing overseas vessel inspections to meet the Coast Guard's inspection requirements

Type of Service:

Physical Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Individual agency or private-sector service provider

Used by:

DOT: Coast Guard and EPA

Commerce Type:

Domestic and international

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign (h)



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The reimbursement amount varies according to travel and subsistence rates. Assessment is levied at the completion of each overseas inspection. The inspection consists of a three-part overseas examination, including (1) an annual inspection fee based on the type of vessel, (2) a fee of \$4,586 to cover the costs of the inspectors' time while traveling, and (3) the reimbursement of travel and per diem costs currently authorized.

Collection Limitations:

None

Laws and Regulations:

46 U.S.C. §3317(b); 46 C.F.R. § 2.10.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$1,209			NA
1990:	\$962			NA
1991:	\$900			NA
1996:	\$1,200		NA	NA
1997:	\$950			NA
1998:		g		NA
1999 (est.):	\$1,000			

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Replacement of Lost or Mutilated Certificate of Documentation

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Note: This assessment was levied in 1993; however, it was levied on recreational vessels only; this assessment is now levied on commercial and recreational vessels.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.141(requirement); 46 C.F.R. § 67.507 (fee).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Return of Vessel to Documentation

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Note: This assessment was levied in 1993; however, it was levied on recreational vessels only, this assessment is now levied on commercial and recreational vessels.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

Used by:

U.S. Treasury

The General Fund of the U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.507

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Trade Endorsement: Coastwise Bowaters Endorsement

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international (i)

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 68.05(requirement); 46 C.F.R. § 67.511(b) (fee).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Trade Endorsement: Coastwise Endorsement

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international (i)

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 68.05(requirement); 46 C.F.R. § 67.511(a) (fee).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Trade Endorsement: Fishery Endorsement

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international (i)

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R §
68.05(requirement); 46 C.F.R. §
67.511(c) (fee).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Waiver: Bill of Sale Eligible for Filing and Recording

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.220(requirement); 46 C.F.R. § 67.527 (fee).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Waiver: Original Build Evidence

Update:

New

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.101 (requirement); 46 C.F.R. § 67.550 (fee).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:
Coast Guard, U.S. Dept. of Transportation

Name of Assessment:

Wrecked Vessel Determination

Update:

Change

Description of Assessment:

User fee for services provided in recording and filing transactions related to U.S.-documented vessels

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: Coast Guard

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The fee is equal to direct labor costs. Fee is levied when an application is submitted.

Collection Limitations:

None

Laws and Regulations:

31 U.S.C. 9701; 46 C.F.R. § 67.133 (requirement); 46 C.F.R. § 67.523 (fee).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		f	b	NA
1990:		f	b	NA
1991:		f	b	NA
1996:		c	NA	NA
1997:		c		NA
1998:		c		NA
1999 (est.):		c		

Description of Exemption

Coast Guard	Footnote
a	This figure also includes collections from the Certificate of Ownership Issuance Fee, Change of Documented Vessel Name Application Fee, Instruments of Conveyance and Encumbrance Recordation fee, New Vessel Determination Application fee, and Wrecked Vessel Qualification Fee.
b	This assessment is collected from both commercial and recreational vessels, but the figure represents only the amount collected from commercial vessels.
c	Collections from this assessment can be found in the Approval of Exchange of Certificate of Documentation Requiring Mortgage Consent figure.
d	This figure includes collections from the following assessments: Initial Certificate of Documentation, Exchange of Certificate of Documentation, Return of Vessel to Documentation, Replacement of Lost or Mutilated Certificate of Documentation, Approval of Exchange of Certificate or Documentation Requiring Mortgage Consent, Trade Endorsement: Coastwise Endorsement, Trade Endorsement: Coastwise Bowaters Endorsement, Trade Endorsement: Fishery Endorsement, Evidence of Deletion from Documentation, Late Renewal Fee, Waiver: Original Build Evidence, Waiver: Bill of Sale Eligible for Filing and Recording, Wrecked Vessel Determination, New Vessel Determination, Rebuild Determination: Preliminary and Final, Filing and Recording: Bills of Sale and Instruments in Nature of Bills of Sale, Filing and Recording: Mortgages and Related Information, Filing and Recording: Notice of Claim of Lien and Related Instruments, Certificate of Compliance, Abstract of Title, Certificate of Ownership, and Copy of Each Instrument or Document.
e	This figure is an estimate.
f	Collections from this assessment can be found in the Abstract of Title figure.
g	Data not available.
h	On November 4, 1992, the Coast Guard Authorization Act of 1992, P.L. 102-587, title V, sec. 5211, extended this reimbursable provision to foreign vessels.
i	Coastwise, coastwise bowater, and fishery are domestic trade endorsements, but the vessel could possibly have multiple endorsements, including Registry and Recreation, which would allow for international voyages.

Current Assessment Levied by the:**Customs Service, U.S. Treasury****Name of Assessment:**

Air/Sea Passenger fee

Update:

No change

Description of Assessment:

This fee is levied for inspection and processing services that are provided for all passengers aboard commercial vessels or aircraft arriving in the U.S. Customs territory from a place outside the United States.

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

Customs Service

Individual agency or private-sector service provider

Used by:

Customs Service

Commerce Type:

International

Payor:

Individual passenger

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

A \$5 fee per passenger is levied for each issuance of ticket or upon departure of the passenger from the United States if the fee was not paid at the certificate issuance in a foreign country.

Collection Limitations:

None

Laws and Regulations:

Consolidated Omnibus Budget Reconciliation Act of 1985, P. L. 99-272, §13031, 100 Stat. 308; 19 U.S.C. §58c(a)(5); 19 C.F.R. §24.22(g).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$943	a		NA
1990:	\$667	a		NA
1991:	\$813	a		NA
1996:	\$191,994	b	NA	NA
1997:	\$211,251	b		NA
1998:	\$235,379	b		NA
1999 (est.):	\$246,385	c		

Description of Exemption

Travel Route: Person whose journey originates in Canada, Mexico, a U.S. territory or possession, or any adjacent island or originated in the United States and was limited to these places, and persons departing and returning to the United States without having touched a foreign port or place. Cargo: Crew members and persons directly connected with the operation, ownership, and business of the vessel and foreign diplomats.

Current Assessment Levied by the:
Customs Service, U.S. Treasury

Name of Assessment:

Barge / Bulk Carrier Fee

Update:

No change

Description of Assessment:

Inspections are performed for all barge / bulk carriers entering into the U.S. Customs territory from Canada or Mexico carrying certain types of cargo entirely originating in Canada or Mexico

Type of Service:

Physical services

Fund that receives collections:

Collected by:

Customs Service

Individual agency or private-sector service provider

Used by:

Customs Service

Commerce Type:

International

Payor:

Owner (d)

Vessel Type:

Barges / bulk carriers

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$100 per vessel for each arrival (e)

Collection Limitations:

Capped at 15 arrivals a year (\$1,500)

Laws and Regulations:

Tax Reform Act of 1986, P. L. 99-514, §1893(a), 100 Stat. 2927; 19 U.S.C. §58c(a)(8).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$507			NA
1990:	\$486			NA
1991:	\$511			NA
1996:	\$478		NA	NA
1997:	\$464			NA
1998:	\$466			NA
1999 (est.):	\$791	f		

Description of Exemption

Current Assessment Levied by the:**Customs Service, U.S. Treasury****Name of Assessment:**

Certification Fee for Payment of Vessel Tonnage Tax and Certify Admeasurement by Foreign Vessels

Update:

No change

Description of Assessment:

For processing and issuing a certificate that shows payment of the Vessel Tonnage Tax
Note: Name change only.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

Customs Service

Individual agency or private-sector service provider

Used by:

Customs Service

Commerce Type:

International

Payor:

Operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

Foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$4.50 for each certificate issued

Collection Limitations:

None

Laws and Regulations:

Customs Procedural Reform and Simplification Act of 1978, P. L. 95-410, §214, 92 Stat. 904; 50 Fed. Reg. 15271, April 17, 1985; 19 C.F.R. §4.98 (a).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		g		NA
1990:	\$139	h		NA
1991:		g		NA
1996:	\$169		NA	NA
1997:	\$284			NA
1998:	\$208	i		NA
1999 (est.):	\$88	j		

Description of Exemption

Type of vessel: Vessels that are manned wholly by members of the uniformed service or civil service personnel of the U.S. or any foreign government and that transport only government property or passengers traveling on official government business.

Current Assessment Levied by the:
Customs Service, U.S. Treasury

Name of Assessment:

Clearance of Vessel to Foreign Port Fee

Update:

No change

Description of Assessment:

For processing and issuing clearance documents allowing vessels to depart from the U.S. Customs territory to a foreign port

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

Customs Service

Individual agency or private-sector service provider

Used by:

Customs Service

Commerce Type:

International

Payor:

Operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

A \$9 fee is levied on vessels less than 100 net tons, and an \$18 fee is levied on vessels 100 net tons or more for each departure for a foreign port.

Collection Limitations:

Collected only at the final U.S. port of departure, and collected only upon first clearance of each year of vessels making regular daily trips between the United States and Canada upon interior waters not navigable to the ocean.

Laws and Regulations:

Customs Procedural Reform and Simplification Act of 1978, P. L. 95-410, §214, 92 Stat. 904; 50 Fed. Reg. 15271, April 17, 1985; 19 C.F.R. §4.98 (a).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		g		NA
1990:	\$879	h		NA
1991:		g		NA
1996:	\$897		NA	NA
1997:	\$910			NA
1998:	\$293	i		NA
1999 (est.):	\$56	j		

Description of Exemption

Type of vessel: (1) Passenger vessels making three trips or more a week between a U.S. port and foreign port or vessels used exclusively as ferryboats, (2) vessels that are manned wholly by members of the uniformed service or civil service personnel of the United States or any foreign government and that transport only government property or passengers traveling on official government business, (3) vessels of less than 5 net tons that depart from the United States to proceed to a contiguous country otherwise than by sea, (4) vessels of war and public vessels employed in the conveyance of letters and dispatches, (5) vessels arriving in distress or for the purpose of taking on stores or bunker coal/fuel and which shall depart within 24 hours without having landed or taken on any passengers or merchandise, and (6) tugs enrolled and licensed to engage in the foreign and coastwise trade in the northern, northeastern, and northwestern frontiers when towing vessels that are required to enter and clear. Travel route: (1) Vessels clearing directly for a port in the noncontiguous U.S. territory; (2) vessels clearing from a port on the northern, northeastern, or northwestern frontiers otherwise than by sea; (3) documented vessels with a Great Lakes license endorsement that, during a voyage on the Great lakes, will touch at a foreign port only for taking on bunker fuel; (4) vessels that merely transit the Panama Canal without transacting any business; and (5) vessels carrying passengers on excursion from the U.S. Virgin Islands.

Current Assessment Levied by the:
Customs Service, U.S. Treasury

Name of Assessment:

Commercial Vessel Fee

Update:

No change

Description of Assessment:

Inspections are performed for commercial vessels entering into the U.S. Customs territory from a foreign port

Type of Service:

Physical services

Fund that receives collections:

Collected by:

Customs Service

Individual agency or private-sector service provider

Used by:

Customs Service

Commerce Type:

International

Payor:

Owner (d)

Vessel Type:

Passenger and nonpassenger vessels of 100 net tons or more

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$ 397 per vessel for each arrival (e)

Collection Limitations:

Capped at 15 arrivals a year (\$5,955)

Laws and Regulations:

Consolidated Omnibus Budget Reconciliation Act of 1985, P. L. 99-272, §13031, 100 Stat. 308; 19 U.S.C. §58c(a)(1); 19 C.F.R. §24.22(b).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$19,239			NA
1990:	\$18,606			NA
1991:	\$18,410			NA
1996:	\$18,222		NA	NA
1997:	\$18,636			NA
1998:	\$19,298			NA
1999 (est.):	\$18,973	f		

Description of Exemption

Type of Vessel: (1) Vessels used solely as a tugboat, (2) arrival of vessels used as ferryboats, (3) barge/bulk carriers arriving from Canada or Mexico carrying certain cargo originating from Canada or Mexico, (4) vessels of war and public vessels employed in the conveyance of letters and dispatches, (5) vessels that are manned wholly by members of the uniformed service or civil service personnel of the U.S. or any foreign government and that transport only government property or passengers traveling on official government business, and (6) vessels arriving in distress or for the purpose of taking on stores or bunker coal/fuel and which shall depart without 24 hours without having landed or taken on any passengers or merchandise. Travel Route: Foreign passenger vessels making at least three trips a week from a U.S. port to the high seas and returning to the same port, not having touched any foreign place.

Current Assessment Levied by the:**Customs Service, U.S. Treasury****Name of Assessment:**

Customs Duties

Update:

Change

Description of Assessment:

Total duties are calculated by summing the total dollars collected for consumption entries, warehouse withdrawals, mail entries, passenger baggage entries, crew member baggage entries, military baggage entries, informal entries, vessel repair entries, and other duties. Note: The "other duties" consist of duties in which payments of supplemental duties are recorded posted as cash receipts. (k)

Type of Service:

Miscellaneous

Fund that receives collections:**Collected by:**

Customs Service

Used by:

U.S. Treasury (I)

The General Fund of the U.S.
Treasury**Commerce Type:**

International

Payor:

Importer

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

Tariff amount is based on the duty rates published in the Harmonized Tariff Schedule. Assessments are imposed upon each entry of cargo into the United States.

Collection Limitations:

None

Laws and Regulations:

Tariff Act of 1930, c 497, 46 Stat. 590; 19 U.S.C. §1202; Omnibus Trade and Competitiveness Act of 1988, P. L. 100-418, §1204, 102 Stat. 1148; 19 C.F.R. §148.33-39.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$16,863,612	k		NA
1990:	\$17,246,858	k		NA
1991:	\$16,394,909	k		NA
1996:	\$19,203,926	k	NA	NA
1997:	\$19,236,558	k		NA
1998:	\$19,118,137	k		NA
1999 (est.):	\$18,030,233	k	m	

Description of Exemption

General:

Cargo: Most cargo meeting the provisions in the Special Classification of Chapter 98 of the Harmonized Tariff Schedule and cargo entered under special trade programs or agreements such as the Generalized System of Preferences.

For vessel repairs duties only:

Type of Vessel: Vessels requiring repairs to secure the vessel's safety and seaworthiness to reach its port of destination for damage resulting from stress of weather or other casualty and repairs to certain barges used as cargo containers. Travel Route: Repairs made in American Samoa, Guantanamo Bay Naval Station, Guam, Puerto Rico, and the U.S. Virgin Islands and spare repair parts or materials if duty is paid on materials according to the Harmonized Tariff Schedule upon the first vessel entry into the United States. Other: (1) Purchases of packing material used to protect cargo, (2) U.S. parts installed with American labor, (3) foreign repairs made to a part that was serviced immediately prior to the U.S. departure and that failed to function within 6 months of such repairs, (4) compensation paid to regular crew in connection with installing and repairing equipment in a foreign country, and (5) judicially created exemptions for modifications and certain inspections, cleaning, or other nonrepair costs.

Current Assessment Levied by the:
Customs Service, U.S. Treasury

Name of Assessment:

Entry of Vessel from Foreign Port Fee

Update:

No change

Description of Assessment:

For processing entry documents for vessels desiring entry into the U.S. Customs territory directly from a foreign port
Note: Name change only.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

Customs Service

Individual agency or private-sector service provider

Used by:

Customs Service

Commerce Type:

International

Payor:

Operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

A \$9 fee is levied on vessels less than 100 net tons, and an \$18 fee is levied on vessels 100 net tons or more for each entry of vessel arriving directly from a foreign port.

Collection Limitations:

Collected only at the first port of vessel entry.

Laws and Regulations:

Customs Procedural Reform and Simplification Act of 1978, P. L. 95-410, §214, 92 Stat. 904; 50 Fed. Reg. 15271, April 17, 1985; 19 C.F.R. §4.98 (a).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		g		NA
1990:	\$930	h		NA
1991:		g		NA
1996:	\$982		NA	NA
1997:	\$1,036			NA
1998:	\$403	i		NA
1999 (est.):	\$72	j		

Description of Exemption

Type of Vessel: (1) Vessels of war and public vessels employed in the conveyance of letters and dispatches, (2) passenger vessels making three trips or more a week between a U.S. port and foreign port or vessels used exclusively as ferryboats, (3) vessels arriving in distress or for the purpose of taking on stores or bunker coal/fuel and which shall depart within 24 hours without having landed or taken on any passengers or merchandise, (4) tugs enrolled and licensed to engage in the foreign and coastwise trade in the northern, northeastern, and northwestern frontiers when towing vessels that are required to enter and clear, and (5) vessels that are manned wholly by members of the uniformed services or civil service personnel of the U.S. or any foreign government and that transport only government property or passengers traveling on official government business. Travel route: Vessels (1) entering directly for a port in the noncontiguous U.S. territory; (2) entering into a port on the northern, northeastern, and northwestern frontiers otherwise than by sea; and (3) vessels carrying passengers on excursion from the U.S. Virgin Islands.

Current Assessment Levied by the:**Customs Service, U.S. Treasury****Name of Assessment:**

Harbor Maintenance Fee

Update:

Change

Description of Assessment:

For the loading or unloading of imported commercial cargo from commercial vessels at a U.S. port for which federal funds have been used since 1977 for construction, maintenance, or operation

Note: This assessment does not apply to ports deauthorized by federal law before 1985.

Type of Service:

Miscellaneous

Fund that receives collections:**Collected by:**

Customs Service

A trust fund at the U.S.Treasury

Used by:Army Corps of Engineers & the
St. Lawrence Seaway**Commerce Type:**

Domestic and international

Payor:Importer, foreign trade zone user, domestic shipper, or operator of
commercial passenger vessel**Vessel Type:**

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

The fee equals 0.125 percent of the value of imported cargo (for passenger vessels, the value of the actual charge for transportation paid by the passengers). This fee is paid upon each formal U.S. port entry.

Collection Limitations:

Note: The U.S Supreme Court declared in U.S. v. U.S. Shoe Corp., 523 U.S. 360 (1998), that the export portion of the Harbor Maintenance Tax was unconstitutional, and it is no longer collected on vessel exportations. Loading and unloading of the same cargo at the same port is assessed only once.

Laws and Regulations:

Water Resources Development Act of 1986, P.L. 99-662, §1402(a), 100 Stat. 4266; Omnibus Budget Reconciliation Act of 1990, P. L. 101-508, §11214(a), 104 Stat. 1388-436; 26 U.S.C. §4461-4462; 19 C.F.R. §24.24.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$163,677			NA
1990:	\$168,670			NA
1991:	\$382,176			NA
1996:	\$676,873		NA	NA
1997:	\$732,759			NA
1998:	\$645,023			NA
1999 (est.):	\$598,231	m	n	o

Description of Exemption

Type of Vessel: (1) Vessels used as ferryboats, (2) government vessels, and (3) passenger vessels if all fares on a voyage total \$1,000 or less, or if value of all fares for a quarter is \$10,000 or less. Travel Route: Commercial vessels whose fuel is subject to the Inland Waterways Fuel Tax and movement of cargo within a port. Cargo: (1) Bunker fuel, ship and sea stores, and vessel equipment; (2) fish or other aquatic animal life caught during voyage; (3) most cargo (except crude oil in respect to Alaska) involved in trade between the contiguous United States and Alaska, Hawaii, or other U.S. possessions; (4) cargo used for humanitarian/development assistance; (5) most cargo entering the United States in-bound for transit and direct exportation; and (6) imports free of all other charges, if the Harbor Maintenance Fee totals \$3 or less. Other: (1) If export shipment is valued at \$2,500 or less, or if total value of exports for the quarter is \$10,000 or less; (2) if foreign trade zone admissions for the quarter are \$10,000 or less, applying only to those goods that are subject to formal entry (goods over \$1,250 in value); and (3) if domestic shipment is valued at \$1,000 or less or if total value of domestic shipments for the quarter is \$10,000 or less.

Current Assessment Levied by the:
Customs Service, U.S. Treasury

Name of Assessment:

Issuance Fee for a Permit to Proceed (p)

Update:

Change

Description of Assessment:

For processing documentation, including the receipt of manifest and for issuing a permit that allows foreign-flag vessels to travel from one U.S. Customs district to another

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

Customs Service

Individual agency or private-sector service provider

Used by:

Customs Service

Commerce Type:

International

Payor:

Operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

Foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$18 for each permit issuance

Collection Limitations:

Only one fee is collected in the case of simultaneous transactions.

Laws and Regulations:

Customs Procedural Reform and Simplification Act of 1978, P. L. 95-410, §214, 92 Stat. 904; 50 Fed. Reg. 15271, April 17, 1985; 19 C.F.R. §4.98 (a).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		g		NA
1990:	\$416	h		NA
1991:		g		NA
1996:	\$422		NA	NA
1997:	\$446			NA
1998:	\$111			NA
1999 (est.):	\$4	j		

Description of Exemption

Type of Vessel: Vessels that are manned wholly by members of the uniformed service or civil service personnel of the U.S. or any foreign government and that transport only government property or passengers traveling on official government business. Travel Route: Foreign vessels proceeding on sea voyages from one U.S. district to another such district via a foreign port and foreign vessels proceeding to another port in the same district.

Current Assessment Levied by the:**Customs Service, U.S. Treasury****Name of Assessment:**

Merchandise Processing Fee

Update:

No change

Description of Assessment:

For processing documentation for imported cargo that is brought into the United States

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

Customs Service

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

International

Payor:

Importer

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

This fee equals 0.19 percent of the value of imported cargo with a minimum of \$21. In addition, a \$3 surcharge is levied for a formal manual entry; and for informal entries, a \$2 fee is levied if entry is automated and reported by Customs; a \$5 fee is levied if entry is manual and not prepared by customs; or a \$8 fee is levied if the entry is prepared by Customs. This assessment is imposed at each Customs entry.

Collection Limitations:

A maximum of \$400 can be collected from a single entry: (1) goods originating in Canada were assessed a fee that was reduced by 20 percent a year until the end of 1993, when the fee was abolished for these goods; (2) the MPF is levied only on the value of materials used to make the containers for U.S. agricultural products packed and processed in a foreign trade zone; and (3) for articles of U.S.-manufactured metals exported for further processing, the MPF is levied only upon the value of such processing outside the United States. (o)

Laws and Regulations:

Omnibus Budget Reconciliation Act of 1986, P.L. 99-509, §8101; 19 U.S.C. §58c(a) (9) (A); 19 C.F.R. §24.23; 56 Fed. Reg. 15036, April 15, 1991.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$729,288	q		NA
1990:	\$735,541	q		NA
1991:	\$484,111	q		NA
1996:	\$750,393		NA	NA
1997:	\$830,872			NA
1998:	\$904,246			NA
1999 (est.):	\$924,250	m		

Description of Exemption

Cargo: (1) From Caribbean Basin Initiative countries, (2) from Least Developed Developing Countries, (3) most cargo meeting the provision in the Special Classification Chapter 98 of the Harmonized Tariff Schedule, and (4) entered from the island possessions of the United States, and goods such as corpses and their coffins, telecommunication transmissions, certain articles returned from space, and records or diagrams dealing with a business operation.

Current Assessment Levied by the:**Customs Service, U.S. Treasury****Name of Assessment:**

Receiving Manifest and Granting Permit to Unlade (s)

Update:

Change

Description of Assessment:

For proccesing the manifest of a foreign vessel upon its arrival from another U.S. Customs district and for granting the vessel a permit to unlade

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

Customs Service

Individual agency or private-sector service provider

Used by:

Customs Service

Commerce Type:

International

Payor:

Operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

Foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$18 for each foreign vessel arrival from another Customs district

Collection Limitations:

Only one fee is collected in case of simultaneous transactions

Laws and Regulations:

Customs Procedural Reform and Simplification Act of 1978, P. L. 95-410, §214, 92 Stat. 904; 50 Fed. Reg. 15271, April 17, 1985; 19 C.F.R. §4.98 (a).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		g		NA
1990:	\$391	h		NA
1991:		g		NA
1996:	\$399		NA	NA
1997:	\$392			NA
1998:	\$99			NA
1999 (est.):	\$5	j		

Description of Exemption

Type of vessel: Vessels that are manned wholly by members of the uniformed service or civil service personnel of the U.S. or any foreign government and that transport only government property or passengers traveling on official government business. Travel Route: Foreign vessels arriving in one U.S. district from another such district on a sea voyage via a foreign port and foreign vessels arriving from another port in the same district.

Current Assessment Levied by the:
Customs Service, U.S. Treasury

Name of Assessment:

Receiving Post Entry

Update:

No change

Description of Assessment:

For processing a report of merchandise found but not manifested for vessels entering into the U.S. Customs territory
Note: Name change only.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

Customs Service

Individual agency or private-sector service provider

Used by:

Customs Service

Commerce Type:

International

Payor:

Operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$9 for each initial post-entry filing and each supplemental post-entry made to the original entry

Collection Limitations:

None

Laws and Regulations:

Customs Procedural Reform and Simplification Act of 1978, P. L. 95-410, §214, 92 Stat. 904; 50 Fed. Reg. 15271, April 17, 1985; 19 C.F.R. §4.98 (a).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		g		NA
1990:	\$216	h		NA
1991:		g		NA
1996:	\$25		NA	NA
1997:	\$28			NA
1998:	\$9	i		NA
1999 (est.):	\$6	j		

Description of Exemption

Type of vessel: Vessels that are manned wholly by members of the uniformed service or civil service personnel of the U.S. or any foreign government and that transport only government property or passengers traveling on official government business. Other: Vessel operators who file their manifests electronically.

Current Assessment Levied by the:
Customs Service, U.S. Treasury

Name of Assessment:

Vessel Tonnage Tax

Update:

No change

Description of Assessment:

A tax on vessels entering the United States from any foreign port or place
Note: Tax is based on the net tonnage of the vessel, as well as the origin of the vessel's voyage.

Type of Service:

Taxes

Fund that receives collections:

Collected by:

Customs Service

Used by:

U.S. Treasury

The General Fund of the U.S.
Treasury

Commerce Type:

International

Payor:

Operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$0.09 per net ton of cargo is added for vessels entering U.S. ports from any foreign port in North America, the West Indies, Bahamas, Bermuda, New Foundland, the coast of South America bordering the Caribbean Sea, the mouth of the Orinoco River, or the high seas adjacent to the United States or the locations listed above

\$0.27 per net ton for vessels entering U.S. ports from other foreign ports (u) or additional taxes are added according to the citizenship of the vessel officers, where the vessel was built, and the country of the vessel and voyage's origin

This assessment is imposed upon each vessel entry.

Collection Limitations:

Collected only on the first five vessel arrivals each year at each tax rate, and vessels making regular daily trips between U.S. and Canadian ports upon interior waters not navigable to the ocean are subject to the tax on only the first vessel arrival each year.

Laws and Regulations:

46 U.S.C. §121; 19 C.F.R. §4.20.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$14,993	v		NA
1990:	\$14,203	v		NA
1991:	\$56,501	v		NA
1996:	\$63,463		NA	NA
1997:	\$64,881			NA
1998:	\$67,182	i		NA
1999 (est.):	\$67,989	m		

Description of Exemption

Type of Vessel: (1) Vessels in distress, (2) vessels of war or other foreign or U.S. government vessels not carrying merchandise or passengers in trade, (3) vessels exclusively engaged in scientific activities or laying cables, and (4) ferries or tugboats with Great Lakes licenses that tow vessels are required to enter. Travel Route: (1) Passenger vessels making three or more trips per week between the United States and a foreign port, (2) vessels coming into a U.S. port for bunkers, sea stores, or ship stores that conduct no other business and that depart within 24 hours of arrival, and (3) vessels entering directly from the Virgin Islands, American Samoa, Guam, Wake, Midway, Canton, and Kingman Reef, or Guantanamo Bay Naval Station. Other: (1) Vessels brought into port by U.S. naval authorities that transact no business but taking on stores or bunkers, (2) vessels engaged in whaling or other fisheries not carrying passengers or merchandise in trade, (3) documented vessels with a Great Lakes license endorsement that touches an intermediate foreign port during a coastwise voyage, and (4) vessels that arrive in the United States from a port in Ontario, Canada, where lighthouse dues and equivalent taxes are not imposed.

Customs	Footnote
a	This figure represents collections from sea passengers only.
b	1996-98 figures exclude excess amounts collected under NAFTA provisions.
c	Fiscal year 1999 Air/Sea Passenger Collection estimate based on projected increase of 6 percent over fiscal year 1998 collections. This method was selected by Customs officials as the best way to estimate this figure.
d	The vessel master, licensed deck officer, purser, or broker usually pays the fee to Customs on behalf of the owner for the Agricultural Quarantine and Inspection User Fee for commercial vessels, the Barge / Bulk Carrier Fee, and the Commercial Vessel Fee.
e	The Barge / Bulk Carrier Fee and Commercial Vessel Fee apply not only to vessels arriving in the United States from a foreign port, but it also applies to additional arrivals of U.S.-flag vessels proceeding coastwise from the first port of entry.
f	Fiscal year 1999 Barge / Bulk and Commercial Vessel Fees are based on actual fiscal year 1998 collections at the same level. This method was selected by Customs officials as the best way to estimate this figure.
g	Amount collected for this fiscal year is not available.
h	This figure includes collections from foreign yachts operating without cruising licenses and from pleasure vessels not engaged in commerce.
i	The decrease in the navigation collections occurred because, in December 1997, Customs determined that navigation fees should not be collected from vessels that paid the commercial vessel user fee.
j	Fiscal year 1999 collection estimate calculations are based on percent change between April 30, 1998, and September 30, 1998. This method was selected by Customs officials as the best way to estimate this figure.
k	The definition of "Customs Duties" has changed since the last report. The figures for fiscal years 1989 through 1991 have thus changed as well.

l	According to Customs Service officials, Customs duties are deposited into the General Fund of the U.S. Treasury; 70 percent remains in the General Fund, while the remaining 30 percent is transferred to an account at the U.S. Department of Agriculture. Customs duties collected in Puerto Rico and the Virgin Islands are deposited into trust funds for each territory. The Customs Service is reimbursed from these trust funds for expenses of Customs duties collection operations in these territories.
m	Fiscal year collections estimate is "straight lined." Average monthly collection amount was determined on the basis of the total collections as of April 30, 1999. The average monthly amount was then multiplied by 12 to get the estimated annual collections. This method was selected by Customs officials as the best way to estimate this figure.
n	Calculation does not include amounts refunded on Harbor Maintenance Fee collected on export vessels.
o	The Harbor Maintenance Fee authorized by the Water Resources Development Act of 1986 is not a Customs user fee. Harbor Maintenance Fees are collected and deposited by Customs into the Harbor Services Trust Fund, which is made available through appropriations to the Army Corps of Engineers. Collections of this fee on exports were ruled unconstitutional by the U.S. Supreme Court in 1998. Processing of the Harbor Maintenance Fee refund claims began on October 15, 1998.
p	In the 1993 report, this assessment was characterized as one assessment; however, because of NAFTA this assessment has been divided into two assessments; (1) Issuance fee for a permit to proceed and (2) Issuance fee for a permit to proceed (Canadian frontier).
q	The figure listed include collections from importers using all modes of transportation. The Customs Service was unable to provide a figure for fees paid only on cargo imported by vessels. The decrease in amounts collected during fiscal years 1990 and 1991 resulted from a 5-percent decrease in the rate charged for the Merchandise Processing fee as of April 15, 1991. A maximum fee of \$400 and a minimum fee of \$21 were set at that time. The rate for the Merchandise Processing fee rose to 19 percent on September 16, 1992; maximum and minimum rates remained the same. The amount collected in fiscal year 1992 is actual.

r	For articles assembled abroad but made with U.S. parts that have not changed in physical identity or value that are returned to the United States, the MPF is levied upon the full value of the imported article, less the cost or value of such products of the United States.
s	In the 1993 report, this assessment was characterized as one assessment; however, because of NAFTA, this assessment has been divided into two assessments: (1) Receiving manifest and granting permit to unlade and (2) Receiving manifest and granting permit to unlade (Canadian frontier).
t	This figure is included in the Customs Duties figure.
u	After fiscal year 1995, the Vessel Tonnage Tax returned to the rates of 2 cents per ton (from 9 cents per ton) and 6 cents per ton (from 27 cents per ton).
v	GAO calculated this figure on the basis of a formula and information provided by the Customs officials.

Current Assessment Levied by the:
Federal Communications Commission

Name of Assessment:

Great Lakes Radio Agreement Inspection Fee

Update:

Change

Description of Assessment:

This assessment is levied for the inspection of radios of U.S.-flag vessels that operate on the Great Lakes and are subject to the Great Lakes Radio Agreement.

Note: The amount collected for this assessment cannot be figured for fiscal year 1996 through 1998 because private-sector service providers (i.e., FCC licensed technicians) collect the assessments. FCC officials noted that there are thousands of licensed technicians.

Type of Service:

Physical services

Fund that receives collections:

Collected by:

FCC-Licensed Technicians

Individual agency or private-sector service provider

Used by:

Private sector

Commerce Type:

Domestic and international

Payor:

Owner or operator

Vessel Type:

Passenger vessels for hire transporting more than six passengers and nonpassenger vessels 65 feet or more in length (a)

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$110 assessment is imposed at each inspection

Inspections are required every 13 months

Note: When this fee was levied and collected by FCC this was the formula and frequency. Since the collection of the fee is now handled by the private-sector, market forces determine the amount of the fee.

Collection Limitations:

None

Laws and Regulations:

Communications Act of 1934, as amended by the Omnibus Budget Reconciliation Act of 1989, P.L.101-239, §3001 (a), 103 Stat. 2124; 47 U.S.C. §351, 352 and 381; 47 C.F.R. §1.1103, §80.951.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		f		NA
1991:	\$153			NA
1996:		g	NA	NA
1997:		g		NA
1998:		g		NA
1999 (est.):		g		

Description of Exemption

Type of vessel: Vessels owned by a governmental entity controlled by a publicly elected or duly appointed official exercising control over their communities or programs.

Current Assessment Levied by the:
Federal Communications Commission

Name of Assessment:

International Telecommunications Settlements

Update:

New

Description of Assessment:

Payment of line charges for U.S. ships communicating via foreign coast earth stations

Type of Service:

Physical services

Fund that receives collections:

Collected by:

FCC

Individual agency or private-sector
service provider

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

U.S. shipowners utilizing telecommunication services off foreign coasts

Vessel Type:

Military or commercial

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

Net change in the CPI-U

Assessment is imposed upon receipt of invoice from foreign coast earth stations

Collection Limitations:

\$ 2.00 per line item

Laws and Regulations:

47 U.S.C. § 158(g); 47 C.F.R. §1.1103 and §1.1119

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$411		NA	NA
1997:	\$554			NA
1998:	\$451			NA
1999 (est.):	\$435			

Description of Exemption

Government entities are exempt.

Current Assessment Levied by the:
Federal Communications Commission

Name of Assessment:

Oceangoing Vessel Radio Inspection Fee

Update:

Change

Description of Assessment:

This assesement is levied for the inspection of radios of cargo vessels of 300 gross tons or more and passenger vessels licensed to carry more than 12 passengers, not subject to Title III, Part III of the Communications Act, that operate on the open sea.

Note: The amount collected cannot be figured for fiscal years 1996 through 1998 because private-sector service providers (i.e., FCC-licensed technician) collect the assessments. FCC officials noted that there are thousands of licensed technicians.

Type of Service:

Physical services

Fund that receives collections:

Collected by:

FCC-Licensed Technicians

Individual agency or private-sector service provider

Used by:

Private sector

Commerce Type:

Domestic and international

Payor:

Owner or operator

Vessel Type:

Passenger vessles licensed to carry more than 12 passengers and nonpassenger vessels over 300 gross tons

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$620 assessment is imposed at each inspection

Inspections are required every 12 months

Note: When this fee was levied and collected by FCC this was the formula and frequency. Since the collection of the fee is now handled by the private-sector, market forces determine the amount of the fee. The required frequency, however, remains as indicated.

Collection Limitations:

None

Laws and Regulations:

Communications Act of 1934, as amended by the Omnibus Budget Reconciliation Act of 1989, P.L.101-239, §3001 (a), 103 Stat. 2124; 47 U.S.C. §351, 352 and 381; 47 C.F.R. §1.1103, §80.801: and §80.851.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		f		NA
1991:	\$388			NA
1996:		g	NA	NA
1997:		g		NA
1998:		g		NA
1999 (est.):		g		

Description of Exemption

Type of vessel: Vessels owned by a governmental entity controlled by a publicly elected or duly appointed officials exercising control over their communities or programs.

Current Assessment Levied by the:
Federal Communications Commission

Name of Assessment:

Radio Communications Equipment Carriage Exemption Processing Fee

Update:

Change

Description of Assessment:

This assessment is levied for the processing of applications for waivers under the ship radio station license assessment. The waivers allow vessels without a complete radio station to operate in the open sea, foreign waters, and foreign ports.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FCC

Individual agency or private-sector service provider

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$135 per exemption (i.e., multiply \$135 times number of exemptions)

Collection Limitations:

None

Laws and Regulations:

Communications Act of 1934, as amended by the Omnibus Budget Reconciliation Act of 1993, P. L. 103-66, §6003; 47 U.S.C. §158; 47 C.F.R. §80.951 (e); Safety of Life at Sea Convention, ch. 4, regulation 5 (b), 16 U.S.T. 185, T.I.A.S. No. 9700.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:	\$1,424	d		NA
1991:	\$47	c		NA
1996:	\$9		NA	NA
1997:	\$5			NA
1998:	\$2			NA
1999 (est.):	\$10			

Description of Exemption

Type of vessel: Vessels owned by a governmental entity controlled by a publicly elected or duly appointed officials exercising control over their communities or programs.

Current Assessment Levied by the:
Federal Communications Commission

Name of Assessment:

Safety Convention Radio Inspection Fee

Update:

Change

Description of Assessment:

This assessment is levied for the inspection of radios of vessels subject to the Safety of Life at Sea Convention. This includes U.S.-flag cargo vessels of 300 gross tons or more and passenger vessels licensed to carry more than 12 passengers, not subject to Title III, Part III of the Communications Act, engaged in international voyages.

Note: The amount collected cannot be figured for fiscal years 1996 through 1998 because private-sector service providers (i.e., FCC-licensed technician) collect the assessments. FCC officials noted that there are thousands of licensed technicians.

Type of Service:

Physical services

Fund that receives collections:

Collected by:

FCC-Licensed Technicians

Individual agency or private-sector service provider

Used by:

Private sector

Commerce Type:

Domestic and international

Payor:

Owner or operator

Vessel Type:

Passenger vessels licensed to carry more than 12 passengers and nonpassenger vessels over 300 gross tons

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$755 assessment is imposed at each inspection

Inspections are required every 12 months

Note: When this fee was levied and collected by FCC, this was the formula and frequency. Since the collection of the fee is now handled by the private-sector, market forces determine the amount of the fee. The required frequency, however, remains as indicated.

Collection Limitations:

None

Laws and Regulations:

Communications Act of 1934, as amended by the Omnibus Budget Reconciliation Act of 1989, P.L. 101-239, §3001 (a); 47 U.S.C. §351 and 352; 47 C.F.R. §1.1103, Safety of Life At Sea Convention, ch. 4, regulation 3, 16 U.S.T. 185, T.I.A.S. No. 9700.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		f		NA
1991:	\$3			NA
1996:		g	NA	NA
1997:		g		NA
1998:		g		NA
1999 (est.):		g		

Description of Exemption

Type of vessel: Vessels owned by a governmental entity controlled by a publicly elected or duly appointed officials exercising control over their communities or programs.

Current Assessment Levied by the:
Federal Communications Commission

Name of Assessment:

Ship Radio Station License Application Fee

Update:

Change

Description of Assessment:

This assessment is levied for the processing of an application for, and the issuance of, a new, modified, and/or renewed ship station radio license for U.S.-flag vessels.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FCC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$45 assessment is imposed upon each application submission

Licenses must be renewed every 10 years

Note: Fee is computed by multiplying the number of vessels in fleet (where applicable) times \$45.

Collection Limitations:

None

Laws and Regulations:

Communications Act of 1934 ch. 652, §351, as amended by the Omnibus Budget Reconciliation Act of 1993, P.L.103-66, §6003; 47 U.S.C. §158 (g); 47 C.F.R. §1.1104.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$0	b		NA
1990:		f		NA
1991:	\$5,095			NA
1996:	\$2,047		NA	NA
1997:	\$763			NA
1998:	\$834			NA
1999 (est.):	\$427			

Description of Exemption

Type of Vessel: Vessels owned by a governmental entity controlled by publicly elected or duly appointed officials exercising control over their respective communities or programs.

Current Assessment Levied by the:
Federal Communications Commission

Name of Assessment:

Ship Radio Station License Regulatory Fee

Update:

New

Description of Assessment:

For compliance with regulatory fee requirements on applications for new licenses and renewals

Note: Regulatory fee payment for entire license term is required at the time of application filing.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FCC

Individual agency or private-sector service provider

Used by:

FCC

Commerce Type:

Domestic and international

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.

☐

Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$60 fee (\$6 per year times 10-year license term) is imposed at each application for new license or renewal

License must be renewed every 10 years

Note: Regulatory fees for fleet licenses are computed by multiplying the number of vessels in the fleet times \$60.

Collection Limitations:

None

Laws and Regulations:

47 U.S.C. § 159; 47 C.F.R. § 1.152.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$1,315		NA	NA
1997:	\$470			NA
1998:	\$872			NA
1999 (est.):	\$508			

Description of Exemption

Type of Vessel: Vessels owned by a governmental entity controlled by publicly elected or duly appointed officials exercising control over their respective communities or programs.
Other: Radio stations belonging to and operated by the U.S. government.

Current Assessment Levied by the:
Federal Communications Commission

Name of Assessment:

Small Passenger Vessel Radio Inspection Fee

Update:

Change

Description of Assessment:

For inspecting radios of vessels for hire that transport more than six passengers subject to Title III, Part III of the Communications Act

Note: The amount collected cannot be figured for fiscal years 1996 through 1998 because private-sector service providers (i.e., FCC-licensed technician) collect the assessments. FCC officials noted that there are thousands of licensed technicians.

Type of Service:

Physical services

Fund that receives collections:

Collected by:

FCC-Licensed Technicians

Individual agency or private-sector service provider

Used by:

Private sector

Commerce Type:

Domestic and international

Payor:

Owner or operator

Vessel Type:

Passenger vessels for hire that transport more than six passengers

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$390 per each inspection

Inspections are required every 12 months

Note: When this fee was levied and collected by FCC, this was the formula and frequency. Since the collection of the fee is now handled by the private sector, market forces determine the amount of the fee. The required frequency, however, remains as indicated.

Collection Limitations:

None

Laws and Regulations:

Communications Act of 1934, as amended by the Omnibus Budget Reconciliation Act of 1989, P.L. 101-239, §3001 (a), 103 Stat. 2124; 47 U.S.C. §381, 382, and 385; 47 C.F.R. §1.1103; and §80.901.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		f		NA
1991:	\$219			NA
1996:		g	NA	NA
1997:		g		NA
1998:		g		NA
1999 (est.):		g		

Description of Exemption

Type of vessel: Vessels owned by a governmental entity controlled by a publicly elected or duly appointed official exercising control over their communities or programs.

FCC	Footnote
a	The Great Lakes Radio Inspection Fee also applies to every vessel engaged in towing another vessel or floating object except when meeting certain conditions.
b	This assessment was not levied during this fiscal year.
c	FCC approximation.
d	This figure also includes collections from the Great Lakes Radio Agreement Inspection Fee, the Oceangoing Vessel Radio Inspection Fee, the Safety Convention Radio Inspection Fee, the Ship Radio Station License Application Fee, the Small Passenger Vessel Radio Inspection Fee, and the Temporary Waiver of Radio Inspection Application Fee.
e	Data not available.
f	Collections from this assessment are included in the Radio Communication Equipment Carriage Exemption Process Fee figure.
g	The amount collected for this assessment cannot be figured for fiscal years 1996 through 1998 because private-sector service providers (i.e., FCC-licensed technician) collect the assessments. FCC officials noted that there are thousands of licensed technicians.

Current Assessment Levied by the:**Federal Maritime Commission****Name of Assessment:**

Additions and changes to filer registration

Update:

New

Description of Assessment:

Any additions or changes made to filer registration for filing pursuant to option 2 of service contract filing with FMC

Note: The fee is expected to expire on September 30, 1999.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

FMC

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

International

Payor:

Vessel owners/operators (carriers) or their filing agents

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$91 assessment is imposed per each addition or change

Collection Limitations:

None

Laws and Regulations:

The Shipping Act of 1984, P. L. 98-237, § 8 (c), 46 U.S.C. app. 1707 (c), as amended by the Ocean Shipping Reform Act of 1998, P. L. 105-258, 112 Stat. 1902; 46 C.F.R. § 530.11 (c) (2).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:			NA	NA
1997:				NA
1998:				NA
1999 (est.):	\$1	d		

Description of Exemption

Current Assessment Levied by the:
Federal Maritime Commission

Name of Assessment:

Ageement Filing Under Delegated Authority Application Fee

Update:

New

Description of Assessment:

For processing an agreement reviewed under delegated authority

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

Used by:

U.S. Treasury

The General Fund of the U.S.
Treasury

Commerce Type:

International

Payor:

Owner, operator, or marine terminal operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$391 assessment is imposed upon each submission

Collection Limitations:

None

Laws and Regulations:

The Shipping Act of 1984, P. L. 98-237, §4, 5 and 6; 46 U.S.C. app. 1703-1705; 46 C.F.R. § 572.401(f); 63 Fed. Reg. 50534, September 22, 1998. On May 1, 1999, the authority for this assessment, the amendments to the Shipping Act of 1984, was amended by the Ocean Shipping Reform Act of 1998, P.L. 105-258, 112 Stat. 1902 and is located at 46 C.F.R. § 535.401 (f).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$20		NA	NA
1997:	\$22			NA
1998:	\$24			NA
1999 (est.):	\$24			

Description of Exemption

Current Assessment Levied by the:
Federal Maritime Commission

Name of Assessment:

Agreement Amendment Filing Requiring Commission Action

Update:

New

Description of Assessment:

For processing agreement amendments that require review by the Commission

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International

Payor:

Owner, operator, or marine terminal operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$841 assessment is imposed upon each submission

Collection Limitations:

None

Laws and Regulations:

The Shipping Act of 1984, P.L. 98-237, §4, 5 and 6; 46 U.S.C. app. 1703-1705; 46 C.F.R. § 572.401(f); 63 Fed. Reg. 50534, September 22, 1998. On May 1, 1999, the authority for this assessment, the amendments to the Shipping Act of 1984, was amended by the Ocean Shipping Reform Act of 1998, P.L. 105-258, 112 Stat. 1902 and is located at 46 C.F.R. § 535.401 (f).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$11		NA	NA
1997:	\$11			NA
1998:	\$7			NA
1999 (est.):	\$17			

Description of Exemption

Current Assessment Levied by the:

Federal Maritime Commission

Name of Assessment:

Agreement Filing for Terminal and Carrier Exempt Agreements Application Fee

Update:

New

Description of Assessment:

For processing marine terminal agreements, among marine terminal operators and one or more ocean common carriers, involving U.S. foreign commerce

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International

Payor:

Owner, operator, or marine terminal operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$131 assessment is imposed upon the submission of each petition

Collection Limitations:

None

Laws and Regulations:

The Shipping Act of 1984, P.L. 98-237, §4, 5, and 6; 46 U.S.C. app. 1703-1705; 46 C.F.R. § 572.401 (f); 63 Fed. Reg. 50534, September 22, 1998. On May 1, 1999, the authority for this assessment, the amendments to the Shipping Act of 1984, was amended by the Ocean Shipping Reform Act of 1998, P.L. 105-258, 112 Stat. 1902 and is located at 46 C.F.R. §535.401(f) .

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$20		NA	NA
1997:	\$30			NA
1998:	\$25			NA
1999 (est.):	\$25			

Description of Exemption

Current Assessment Levied by the:**Federal Maritime Commission****Name of Assessment:**

COFR for Indemnification of Passengers for Nonperformance of Transportation Application Fee

Update:

Change

Description of Assessment:

For determining and certifying that those in the United States who arrange, offer, advertise, or provide transportation on vessels that can accommodate 50 or more passengers and that embark passengers at U.S. ports have the resources to reimburse passengers if the vessel cannot provide the transportation to which they are entitled

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

FMC

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner or charterer

Vessel Type:

Passenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$2,152 one-time assessment is imposed; however, a \$1,076 assessment is imposed for each application for addition / substitution of vessel

Collection Limitations:

None

Laws and Regulations:

P. L. 89-777, §3 (a), 80 Stat. 1357, 46 U.S.C. §817e; 46 C.F.R. §540.1, 540.4.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$26	a		NA
1990:	\$8	a		NA
1991:	\$23	a		NA
1996:	\$9		NA	NA
1997:	\$11			NA
1998:	\$15			NA
1999 (est.):	\$15			

Description of Exemption

Current Assessment Levied by the:**Federal Maritime Commission****Name of Assessment:**

COFR to Meet Liability Incurred for Death or Injury to Passengers or Other Persons on Voyages Application Fee

Update:

Change

Description of Assessment:

For determining and certifying that owners or charters of vessels that can accommodate 50 or more passengers and that embark passengers at U.S. ports have the resources to meet any liability that might arise from death or injury of passengers or other persons on voyages to or from U.S. ports

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner or charterer

Vessel Type:

Passenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$938 one-time assessment is imposed; however, there is a \$469 assessment imposed for each application for addition / substitution of vessel

Collection Limitations:

None

Laws and Regulations:

P. L. 89-777, §2 (a), 80 Stat. 1356, 46 U.S.C. §817d; 46 C.F.R. §540.20, 540.23.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		b		NA
1991:		b		NA
1996:	\$2		NA	NA
1997:	\$4			NA
1998:	\$8			NA
1999 (est.):	\$8			

Description of Exemption

Current Assessment Levied by the:**Federal Maritime Commission****Name of Assessment:**

Conciliation Service Application Fee

Update:

Change

Description of Assessment:

For processing requests for FMC's help in settling disputes regarding the transportation of goods in the waterborne domestic offshore or foreign commerce of the United States

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

FMC

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:International (effective Oct. 1,
1996)**Payor:**

Owner, operator, shipper, or other interested party

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$69 assessment is imposed upon each application submission

Collection Limitations:

None

Laws and Regulations:

46 C.F.R. §502.404(a).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$0	c		NA
1990:	\$0			NA
1991:	\$0			NA
1996:	\$0	c	NA	NA
1997:	\$0	c		NA
1998:	\$0	c		NA
1999 (est.):	\$0	c		

Description of Exemption

Current Assessment Levied by the:
Federal Maritime Commission

Name of Assessment:

Declaratory Order Application Fee

Update:

Change

Description of Assessment:

For processing petitions requesting FMC to issue a declaratory order to terminate a controversy or to remove uncertainty in matters regulated by FMC under statutes administered by FMC

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International (effective Oct. 1,
1996)

Payor:

Owner, operator, shipper, or other interested party

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$177 assessment is imposed upon each application submission

Collection Limitations:

None

Laws and Regulations:

46 C.F.R. §502.68(a)(3).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$0	c		NA
1990:	\$0	c		NA
1991:	\$0			NA
1996:	\$0	c	NA	NA
1997:	\$0	c		NA
1998:	\$0	c		NA
1999 (est.):	\$0	c		

Description of Exemption

Current Assessment Levied by the:
Federal Maritime Commission

Name of Assessment:

Filer registration

Update:

New

Description of Assessment:

For filing pursuant to option 2 of service contract filing with FMC
Note: The fee is expected to expire on September 30, 1999.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International

Payor:

Vessel owners/operators (carriers) or their filing agents

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$91 assessment imposed upon registration (one-time fee)

Collection Limitations:

No fee assessed to continue filer registration for organizations registered for batch filing with FMC prior to May 1, 1999.

Laws and Regulations:

The Shipping Act of 1984, P. L. 98-237, § 8 (c), 46 U.S.C. app. 1707 (c), as amended by the Ocean Shipping Reform Act of 1998, P. L. 105-258, 112 Stat. 1902; 46 C.F.R. § 530.11 (c) (2).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:			NA	NA
1997:				NA
1998:				NA
1999 (est.):	\$0	c		

Description of Exemption

Current Assessment Levied by the:**Federal Maritime Commission****Name of Assessment:**

Filing fee for service contracts and amendments

Update:

New

Description of Assessment:

The fee paid for filing service contracts and amendments with FMC pursuant to option 2
Note: The fee is expected to expire on September 30, 1999.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

FMC

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

International

Payor:

Vessel owners/operators (carriers) or their filing agents

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$1.63 per each filing of a service contract or amendment

Collection Limitations:

None

Laws and Regulations:

The Shipping Act of 1984, P. L. 98-237, § 8 (c), 46 U.S.C. app. 1707 (c), as amended by the Ocean Shipping Reform Act of 1998, P. L. 105-258, 112 Stat. 1902; 46 C.F.R. § 530.11 (c) (1).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:			NA	NA
1997:				NA
1998:				NA
1999 (est.):	\$8			

Description of Exemption

Current Assessment Levied by the:**Federal Maritime Commission****Name of Assessment:**

Filing guide (diskette and paper)

Update:

New

Description of Assessment:

To purchase guide to aid in the filing of service contracts pursuant to option 2 with FMC
Note: The fee is expected to expire on September 30, 1999.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

FMC

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

International

Payor:

Vessel owners/operators (carriers) or their filing agents

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$25 for diskette and \$49 for paper assessment are imposed upon the purchase of filing guide (probably once)

Collection Limitations:**Laws and Regulations:**

The Shipping Act of 1984, P. L. 98-237, § 8 (c), 46 U.S.C. app. 1707 (c), as amended by the Ocean Shipping Reform Act of 1998, P. L. 105-258, 112 Stat. 1902; 46 C.F.R. § 530.11 (c) (3).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:			NA	NA
1997:				NA
1998:				NA
1999 (est.):	\$0	c		

Description of Exemption

Current Assessment Levied by the:**Federal Maritime Commission****Name of Assessment:**

FMC: Special Docket Application Fee

Update:

Change

Description of Assessment:

For granting permission to refund or waive collection of a portion of freight charges where it appears that there is an error in the tariff of a clerical or administrative nature or an error due to inadvertence in failing to file a new tariff

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

FMC

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:International (effective Oct. 1,
1996)**Payor:**

Owner, operator, or NVOCC (e)

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$86 assessment is imposed upon each application submission

Collection Limitations:

None

Laws and Regulations:

Shipping Act of 1984, P. L. 98-237, §8, 98 Stat. 74; 46 U.S.C. App. §1707; 46 C.F.R. §502.92 (a) (3) (ii). Effective May 1, 1999, the statutory authority for this assessment, the Shipping Act of 1984, was amended by the Ocean Shipping Reform Act of 1998, P. L. 105 - 258, 112 Stat. 1902.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$3			NA
1990:	\$2			NA
1991:	\$5			NA
1996:	\$6		NA	NA
1997:	\$9			NA
1998:	\$9			NA
1999 (est.):	\$9			

Description of Exemption

Current Assessment Levied by the:
Federal Maritime Commission

Name of Assessment:

FMC: Special Permission Application Fee

Update:

Change

Description of Assessment:

For granting special permission to establish tariff rate increases or decreases on less than 30-day statutory notice or a waiver of the tariff filing requirements

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International (effective Oct. 1,
1996)

Payor:

Owner, operator, or NVOCC (e)

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$179 assessment is imposed upon each application submission

Collection Limitations:

None

Laws and Regulations:

Shipping Act of 1984, P. L. 98-237, §8 (d) and 9 (c), 98 Stat. 74; 46 U.S.C. App. §1707(d); 46 C.F.R. §514.21 (f). Effective May 1, 1999, part 514 of 46 C.F.R. is deleted, and this assessment was located at 46 C.F.R. § 520.14 (c) (1).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$25			NA
1990:	\$25			NA
1991:	\$19			NA
1996:	\$32		NA	NA
1997:	\$38			NA
1998:	\$43			NA
1999 (est.):	\$43			

Description of Exemption

Cargo: U.S.-flag vessels transporting Department of Defense cargo under conditions approved by the Military Sealift Command.

Current Assessment Levied by the:**Federal Maritime Commission****Name of Assessment:**

Formal Complaint Filing Fee

Update:

Change

Description of Assessment:

For processing complaints that claim that a violation has occurred in connection with the foreign or offshore domestic commerce of the United States and that seek reparation for that violation

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

FMC

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:International (effective Oct. 1,
1996)**Payor:**

Owner, operator, shipper, or other interested party

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$184 assessment is imposed upon each application submission

Collection Limitations:

None

Laws and Regulations:

Shipping Act Amendments of 1979, P. L. 96-25, §5, 93 Stat. 72; 46 U.S.C. App. §821; Shipping Act of 1984, P. L. 98-237, §11(a), 98 Stat. 80; 46 U.S.C. App. §1710(a); 46 C.F.R. §502.62 (f); 46 C.F.R. §502.301(a). Effective May 1, 1999, the statutory authority for this assessment, the Shipping Act of 1984, was amended by the Ocean Shipping Reform Act of 1998, P. L. 105 - 258, 112 Stat. 1902.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$1			NA
1990:	\$1			NA
1991:	\$2			NA
1996:	\$2		NA	NA
1997:	\$1			NA
1998:	\$1			NA
1999 (est.):	\$1			

Description of Exemption

Current Assessment Levied by the:**Federal Maritime Commission****Name of Assessment:**

Informal Procedures Application Fee

Update:

New (2 old assessments consolidated into 1 assessment)

Description of Assessment:

For processing requests that a claim of \$10,000 or less can be settled, with the consent of both parties, without formal proceedings and for processing requests that a complaint proceeding be conducted without an oral hearing

Note: The informal small claim procedures application fee and the shortened procedure application fee have been collapsed into one assessment: The Informal Procedures Application Fee.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

FMC

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:International (effective Oct. 1,
1996)**Payor:**

Owner, operator, shipper, or other interested party

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$68 assessment is imposed upon each application submission

Collection Limitations:

None

Laws and Regulations:

Shipping Act of 1984, P. L. 98-237, §11(a), 98 Stat. 8046 U.S.C. App § 1710 (a) ; 46 C.F.R. §502.182; §502.301(c); and §502.182; §502.304(b). Effective May 1, 1999, the statutory authority for this assessment, the Shipping Act of 1984, was amended by the Ocean Shipping Reform Act of 1998, P.L. 105-258, 112 Stat. 1902.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$0	c	NA	NA
1997:	\$1			NA
1998:	\$0	c		NA
1999 (est.):	\$0	c		

Description of Exemption

Current Assessment Levied by the:
Federal Maritime Commission

Name of Assessment:

New Agreement Filings Requiring Commission Review

Update:

New

Description of Assessment:

For processing new agreements that require review by the Commission

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International

Payor:

Owner, operator, or marine terminal operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$1,666 assessment is imposed upon each agreement

Collection Limitations:

None

Laws and Regulations:

The Shipping Act of 1984, P.L. 98-237, §4, 5 and 6; 46 U.S.C. app. 1703-1705; 46 C.F.R. § 572.401(f). On May 1, 1999, the authority for this assessment, the Shipping Act of 1984, was amended by the Ocean Shipping Reform Act of 1998, P.L. 105-258, 112 Stat. 1902 and is located at 46 C.F.R. § 535.401 (f).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$56		NA	NA
1997:	\$36			NA
1998:	\$48			NA
1999 (est.):	\$48			

Description of Exemption

Current Assessment Levied by the:

Federal Maritime Commission

Name of Assessment:

Permission to Correct Clerical Errors on Service Contracts Application

Update:

New

Description of Assessment:

For processing requests to correct clerical or administrative errors in the essential terms of a service contract

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International

Payor:

Owner, operator, or other interested party

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$233 assessment is imposed upon each submission

Collection Limitations:

None

Laws and Regulations:

The Shipping Act of 1984, P. L. 98-237, §8, 46 U.S.C. app. 1707; 46 C.F.R. § 514.21(k) (2). On May 1, 1999, the authority for this assessment, the Shipping Act of 1984, was amended by the Ocean Shipping Reform Act of 1998, P.L. 105-258, 112 Stat. 1902 and is located at 46 C.F.R. § 530.11 (c)(4).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$0		NA	NA
1997:	\$0			NA
1998:	\$0			NA
1999 (est.):	\$0	c		

Description of Exemption

Current Assessment Levied by the:**Federal Maritime Commission****Name of Assessment:**

Petition for Investigation to Determine Existence of Adverse Conditions Affecting U.S.-flag Carriers

Update:

New (1 old assessment divided into 4 new assessments)

Description of Assessment:

For processing petition for investigation under the Foreign Shipping Practices Act of 1988 to address adverse conditions that affect U.S.-flag carriers that do not exist for foreign carriers in the U.S.

Note: This assessment was formerly included in the general petition application fee.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

FMC

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

International

Payor:Owner, Operator, NVOCC, importer, exporter, freight forwarder,
shipper or other interested party**Vessel Type:**

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$177 assessment is imposed upon the submission of each petition

Collection Limitations:

None

Laws and Regulations:

The Foreign Shipping Practices Act of 1988, P.L. 100-418, Title X, 102 Stat. 1570; 46 U.S.C. app. 1710a; 46 C.F.R. § 588.4. On May 1, 1999, the authority for the Shipping Act of 1984, is amended by the Ocean Shipping Reform Act of 1998, P.L. 105-258, 112 Stat. 1902 and is located at 46 C.F.R. § 555.4(a).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$0		NA	NA
1997:	\$0			NA
1998:	\$0			NA
1999 (est.):	\$0			

Description of Exemption

Current Assessment Levied by the:
Federal Maritime Commission

Name of Assessment:

Petition for Relief for U.S.-flag Vessels Operating in Foreign-to-Foreign Trades

Update:

New (1 old assessment divided into 4 new assessments)

Description of Assessment:

For processing petition for relief sought by U.S.-flag vessels to address conditions unduly impairing their access to ocean trade between foreign ports

Note: This assessment was formerly included in the general petition application fee.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$177 assessment is imposed upon the submission of each petition

Collection Limitations:

None

Laws and Regulations:

The Shipping Act of 1984, P.L. 98-237, § 13(b)(5), 46 U.S.C. app. 1712; 46 C.F.R. § 587.3. On May 1, 1999, the authority for this assessment, the Shipping Act of 1984, was amended by the Ocean Shipping Reform Act of 1998, P.L. 105-258, 112 Stat. 1902 and is located at 46 C.F.R. § 560.3 (a)(2).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$0		NA	NA
1997:	\$0			NA
1998:	\$0			NA
1999 (est.):	\$0			

Description of Exemption

Current Assessment Levied by the:
Federal Maritime Commission

Name of Assessment:

Petition for Rulemaking Fee

Update:

New (1 old assessment divided into 4 new assessments)

Description of Assessment:

For processing petition for the issuance, amendment, or repeal of a rule of the Commission
Note: This assessment was formerly included in the general petition application fee.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner, operator, shipper, or other interested party

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$177 for each application submission

Collection Limitations:

None

Laws and Regulations:

46 C.F.R. § 502.51.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$0		NA	NA
1997:	\$0			NA
1998:	\$1	d		NA
1999 (est.):	\$1			

Description of Exemption

Current Assessment Levied by the:
Federal Maritime Commission

Name of Assessment:

Petition for Section 19 Relief

Update:

New (1 old assessment divided into 4 new assessments)

Description of Assessment:

For processing petition seeking relief from conditions unfavorable to shipping in the foreign trade of the United States

Note: This assessment was formerly included in the general petition application fee.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International

Payor:

Owner, operator, NVOCC, importer, exporter, or other interested party

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$177 assessment is imposed on each petition

Collection Limitations:

None

Laws and Regulations:

The Merchant Marine Act of 1920, §19, 46 U.S.C. App. § 876; 46 C.F.R. § 585.402.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$0		NA	NA
1997:	\$0			NA
1998:	\$0			NA
1999 (est.):	\$0			

Description of Exemption

Current Assessment Levied by the:
Federal Maritime Commission

Name of Assessment:

Software Certification

Update:

New

Description of Assessment:

Software certification for those filing service contracts with FMC pursuant to option 2
Note: The fee is expected to expire on September 30, 1999.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

FMC

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International

Payor:

Vessel owners/operators (carriers) or their filing agents

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$496 per test submission

Collection Limitations:**Laws and Regulations:**

The Shipping Act of 1984, P. L. 98-237, § 8 (c), 46 U.S.C. app. 1707 (c), as amended by the Ocean Shipping Reform Act of 1998, P. L. 105-258, 112 Stat. 1902; 46 C.F.R. § 530.11 (c) (5).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:			NA	NA
1997:				NA
1998:				NA
1999 (est.):	\$0			

Description of Exemption

FMC	Footnote
a	This amount also includes collections from the COFR to Meet Liability Incurred for Death or Injury to Passengers Application Fee.
b	Collections from this assessment are included in the COFR Indemnification of Passengers for Nonperformance of Transportation Application Fee figure.
c	The amount collected is less than \$500 and is rounded down to \$0.
d	The amount collected is more than \$500 and is rounded up to \$1,000.
e	NVOCC = non-vessel-operating common carrier

Current Assessment Levied by the:**Grain Inspection, Packers, and Stockyards Administration, U.S. Dept. of Agriculture****Name of Assessment:**

Stowage Examination Fee

Update:

Change

Description of Assessment:

For examining the hulls of ships carrying export grain to determine if they are clean, dry, free of infestation of rodents, toxic substances, and foreign odor and are suitable to store and carry grain

Type of Service:

Physical Services

Fund that receives collections:**Collected by:**

USDA: GIPSA

Individual agency or private-sector service provider

Used by:

USDA: GIPSA

Commerce Type:

International

Payor:

Importer or exporter

Vessel Type:

Nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

Unit fee charge of \$ 50.50 per hold inspected (change made in 1996)
Assesment is imposed upon each examination

Collection Limitations:

None

Laws and Regulations:

U.S. Grains Standards Act, c.313, Pt. B, §5, 39 Stat. 483; 7 U.S.C. §77; Agricultural Marketing Act, c.966, §203, 60 Stat. 1087; 7 U.S.C. §1622; 7 C.F.R. §800.71, §800.75 and §800.76.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$828	a		NA
1990:	\$745	a		NA
1991:	\$672	a		NA
1996:	\$725		NA	NA
1997:	\$1,173			NA
1998:	\$1,159			NA
1999 (est.):	\$1,175			

Description of Exemption

Cargo: Ships exclusively transporting certain types of grain, such as grain exported for seeding purposes and grain not sold by grade.

GIPSA	Footnote
a	GAO calculated this figure on the basis of a formula and information provided by GIPSA officials.

Current Assessment Levied by the:
Internal Revenue Service, U.S. Treasury

Name of Assessment:

Inland Waterways Fuel Tax

Update:

Change

Description of Assessment:

A tax imposed on any liquid used as a fuel on certain U.S. inland and intercoastal waterways
Note: Collected taxes are used for construction and rehabilitation of the inland waterways.

Type of Service:

Taxes

Fund that receives collections:

Collected by:

IRS

A trust fund at the U.S.Treasury

Used by:

Army Corps of Engineers

Commerce Type:

Domestic

Payor:

Operator

Vessel Type:

Nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

1999 rate of \$0.244 gallon of fuel used (includes the Leaking Underground Storage Tank [LUST] tax rate of \$0.001 per gallon used)
This assessment is imposed quarterly

Collection Limitations:

None

Laws and Regulations:

Internal Revenue Code of 1986, §4042;
26 U.S.C. § 4042.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$51,600			NA
1990:	\$57,143			NA
1991:	\$61,598			NA
1996:	\$125,520	a	NA	NA
1997:	\$120,687	a	b	NA
1998:	\$117,313	a	b	NA
1999 (est.):	\$120,000	a	b	

Description of Exemption

Type of Vessel: (1) Deep-draft oceangoing vessels designed for use on the high seas that have a draft of more than 12 feet; (2) passenger vessels, (3) U.S., state, or local government vessels; and (4) movement by tug of certain oceangoing barges to pick up or deliver international cargo. Cargo: Vessels transporting fish or other aquatic life caught on voyage.

Current Assessment Levied by the:
Internal Revenue Service, U.S. Treasury

Name of Assessment:

Leaking Underground Storage Tank (LUST) Tax

Update:

No change

Description of Assessment:

A tax imposed on any liquid used as a fuel on certain U.S. inland and intracoastal waterways
Note: Collected taxes are used for corrective action taken for the petroleum and petroleum product leaks of underground storage tanks.

Type of Service:

Taxes

Fund that receives collections:

Collected by:

IRS

A trust fund at the U.S.Treasury

Used by:

EPA

Commerce Type:

Domestic

Payor:

Operator

Vessel Type:

Nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$0.001 per gallon of fuel used
This assessment is levied quarterly

Collection Limitations:

None

Laws and Regulations:

Internal Revenue Code of 1986, §4042;
26 U.S.C. §4042.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$0	c		NA
1990:	\$357			NA
1991:	\$403			NA
1996:		d	NA	NA
1997:		d		NA
1998:		d		NA
1999 (est.):		d		

Description of Exemption

Type of Vessel: (1) Deep-draft oceangoing vessels designed for use on the high seas that have a draft of more than 12 feet; (2) passenger vessels; (3) U.S., state, or local government vessels; and (4) movement by tug of certain oceangoing barges to pick up or deliver international cargo. Cargo: Vessels transporting fish or other aquatic life caught on voyage.

Current Assessment Levied by the:
Internal Revenue Service, U.S. Treasury

Name of Assessment:

Ship Passengers International Departure Tax

Update:

No change

Description of Assessment:

A tax imposed on each passenger who embarks from or disembarks in a U.S. port and whose voyage extends over 1 or more nights (more than 24 hours)

Type of Service:

Taxes

Fund that receives collections:

Collected by:

IRS

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Operator

Vessel Type:

Passenger vessels whose voyage extends over 1 or more nights (over 24 hours)(e)

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$3 per passenger
Semimonthly deposits of taxes paid are required
The Form 720, however, is due on a quarterly basis

Collection Limitations:

None

Laws and Regulations:

Omnibus Budget Reconciliation Act of 1989, P.L. 101-239, §7504(a), 103 Stat. 2362; 26 U.S.C. §4471-4472; 26 C.F.R. §43.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$0	c		NA
1990:	\$6,523			NA
1991:	\$14,195			NA
1996:	\$17,460		NA	NA
1997:	\$19,900			NA
1998:	\$18,269			NA
1999 (est.):	\$18,543	f		

Description of Exemption

Type of Vessel: U.S. government or state owned or operated vessels. Cargo: Master, crew, and others actively involved with vessel's business.

IRS Footnote	
a	This figure includes collections from the Leaking Underground Storage Tank (LUST) Tax.
b	In fiscal years 1997 and 1998 severe winter weather and later spring floods had a negative impact on the river transportation network in the central United States Fiscal year 1999 has not produced these types of weather effects on the rivers, so the estimate for the fiscal year 1999 is that revenues will begin to recover.
c	This assessment was not levied during this fiscal year.
d	This figure is included in the Inland Waterways fuel tax.
e	The Ship Passengers International Departure Tax applies to all commercial vessels that transport passengers to vessels engaged in gambling beyond the territorial waters of the U.S., regardless of the length of the voyage or the size of the vessel.
f	Average of a 3-year period.

Current Assessment Levied by the:
Maritime Administration, Dept. of Transportation

Name of Assessment:

Authority to Transfer Ownership of Ships Built With Construction Subsidies Application Fee

Update:

No change

Description of Assessment:

For processing an amendment or addendum to construction differential subsidy contracts to provide for the sale of a vessel built with construction subsidies

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: MARAD

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International

Payor:

Owner

Vessel Type:

Nonpassenger

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$200 assessment is imposed upon each application submission

Collection Limitations:

None

Laws and Regulations:

Merchant Marine Act of 1936 as amended, § 501, (46 App. U.S.C. 1151); 46 C.F.R. § 251.31 (b).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$0	a		NA
1990:	\$0	a		NA
1991:	\$0	a		NA
1996:	\$0	b	NA	NA
1997:	\$0	b		NA
1998:	\$0	b		NA
1999 (est.):	\$0	b		

Description of Exemption

Current Assessment Levied by the:
Maritime Administration, Dept. of Transportation

Name of Assessment:

Foreign Transfer of Ownership or Registry Application Fee

Update:

No change

Description of Assessment:

For processing various types of foreign transfers, such as the transfer of U.S.-documented vessels to foreign flags

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: MARAD

Used by:

U.S. Treasury

The General Fund of the U.S.
Treasury

Commerce Type:

International

Payor:

Owner

Vessel Type:

Passenger and nonpassenger vessels of 1,000 gross tons or more

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

Flat fee ranging from \$170 to \$325 on the basis of the type of transaction
Assessment is imposed upon each application submission

Collection Limitations:

None

Laws and Regulations:

Shipping Act of 1916, as amended, § 2, § 3, § 9, § 37, and § 41; 46 App. U.S.C. §802, §803, §808 (c), §835 and the Merchant Marine Act, 1936, as amended, § 204 (b); 46 C.F.R. § 221.7 (b) and § 221.15.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$64			NA
1990:	\$90			NA
1991:	\$67			NA
1996:	\$17		NA	NA
1997:	\$13			NA
1998:	\$17			NA
1999 (est.):	\$7			

Description of Exemption

Type of vessel: (1) vessels under 1,000 gross tons whose last documentation must be under U.S. laws under 46 C.F.R. 221.15 (a) and (2) vessels that have been designed or built and will be operated as a fishing vessel, fish-processing vessel, or a fish tender vessel. Other: Extenuating circumstances when U.S. interests are settled.

Current Assessment Levied by the:
Maritime Administration, Dept. of Transportation

Name of Assessment:

Foreign Transfer of Ownership Pursuant to MARAD Contracts

Update:

No change

Description of Assessment:

For processing subsequent transfers of foreign-flag vessels pursuant to the approval of the original-flag transfer

Type of Service: Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by: DOT: MARAD

The General Fund of the U.S.
Treasury

Used by: U.S. Treasury

Commerce Type: International

Payor: Owner

Vessel Type: Passenger and nonpassenger vessels of 3,000 gross tons or more

Flag Type: Foreign

☒ **Specific to Maritime Industry?**

Formula and Frequency of Assessment:

Flat fee ranging from \$235 to \$260 on the basis of the type of transaction
Assessment is imposed upon each application submission

Collection Limitations:

None

Laws and Regulations:

Shipping Act of 1916, as amended, § 2, §3, §9, §37, and §41; 46 App. U.S.C. §802, §803, §808 (c), §835 and the Merchant Marine Act, 1936, as amended, § 204 (b); 46 C.F.R. § 221.7 (b) and § 221.15.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$5			NA
1990:	\$17			NA
1991:	\$11			NA
1996:	\$11		NA	NA
1997:	\$5			NA
1998:	\$5			NA
1999 (est.):	\$7			

Description of Exemption

Current Assessment Levied by the:
Maritime Administration, Dept. of Transportation

Name of Assessment:

Guarantee Fee for MARAD's Title XI Program

Update:

Change

Description of Assessment:

For covering a portion of the principal and interest on guaranteed financing in the event of a default and administrative, custodial, and insurance costs associated with defaulted equipment

Note: Collections specifically reimburse the agency for the potential expenses incurred in the event of a default. (c)

Type of Service:

Miscellaneous

Fund that receives collections:

Collected by:

DOT: MARAD

Individual agency or private-sector service provider

Used by:

DOT: MARAD

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Commercial and research vessels shipyard modernization projects

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

Prior to delivery date of a vessel or advanced or Modern Shipbuilding Technology and depending on equity amounts: Not less than 0.25 percent and not more than 0.5 percent in excess of the average principal amount established to be outstanding

After delivery date of a vessel or advanced or Modern Shipbuilding Technology and depending on equity amounts: not less than 0.5 percent and not more than 1 percent of the average principal amount of obligations outstanding

Note: This assessment is imposed at the closing

Collection Limitations:

None

Laws and Regulations:

Merchant Marine Act of 1936, as amended, § 1104 A(e) (46 App. U.S.C. 1274 (e)); 46 C.F.R. §298.36.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		d		NA
1990:		d		NA
1991:		d		NA
1996:	\$10,200	e	NA	NA
1997:	\$13,300	e		NA
1998:	\$26,700	e		NA
1999 (est.):	\$100,000	e		

Description of Exemption

Current Assessment Levied by the:
Maritime Administration, Dept. of Transportation

Name of Assessment:

Investigation Fee

Update:

Change

Description of Assessment:

For analysis of applications and determination of MARAD's course of action
Note: Collections specifically reimburse the agency for expenses incurred in evaluating applications.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: MARAD

Individual agency or private-sector service provider

Used by:

DOT: MARAD

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Commercial and research

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

0.5 percent on obligation to be issued, up to and including \$10 million, plus 0.125 percent on all obligations in excess of \$10 million (f)

Note: This assesement is levied for each investigation.

Collection Limitations:

None

Laws and Regulations:

Merchant Marine Act of 1936, as amended, §1104 A (f); 46 App. U.S.C. 1274(f); 46 C.F.R. §298.15.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		d		NA
1990:		d		NA
1991:		d		NA
1996:		g	NA	NA
1997:		g		NA
1998:		g		NA
1999 (est.):		g		

Description of Exemption

Current Assessment Levied by the:
Maritime Administration, Dept. of Transportation

Name of Assessment:

Substitution of Participants for Title XI Assistance Application Fee

Update:

Change

Description of Assessment:

This assessment is levied for approving the substitution of participants in a mortgage and/or security agreement for Title XI assistance. It is intended to defray the cost of substituting or assigning a MARAD-insured mortgage to another person.

Note: Collections specifically reimburse the agency.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: MARAD

Individual agency or private-sector service provider

Used by:

DOT: MARAD

Commerce Type:

Domestic and international

Payor:

Owner or mortgagee

Vessel Type:

Commercial and research vessels shipyard modernization projects

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$1,500 for single applicant, and \$3,000 for joint applicants
Assessment is imposed on each application submission

Collection Limitations:

None

Laws and Regulations:

Merchant Marine Act of 1936, as amended, § 204 (b) and 1101 et seq.; 46 App. U.S.C. 1114 (b) and 1274 (et seq.); 46 C.F.R. §298.16.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		d		NA
1990:		d		NA
1991:		d		NA
1996:		g	NA	NA
1997:		g		NA
1998:		g		NA
1999 (est.):		g		

Description of Exemption

Current Assessment Levied by the:
Maritime Administration, Dept. of Transportation

Name of Assessment:

Title XI Application Filing Fee

Update:

Change

Description of Assessment:

For processing applications for title XI assistance
Note: Collections specifically reimburse the agency.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

DOT: MARAD

Individual agency or private-sector
service provider

Used by:

DOT: MARAD

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Commercial and research vessels shipyard modernization projects

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$5,000 for each application submission
Note: The filing fee is credited against the investigation fee

Collection Limitations:

None

Laws and Regulations:

Merchant Marine Act of 1936, as amended, § 204 (b); § 1103 as added June 23, 1938 and § 1104 (e) and (f), ch. 600, §46, 52 Stat. 969; 46 App. U.S.C. 1114 (b) §1273(a), 1274 (e) and (f); 46 C.F.R. §298.3 (c).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$21,100	h		NA
1990:	\$19,100	h		NA
1991:	\$15,600	h		NA
1996:		g	NA	NA
1997:		g		NA
1998:		g		NA
1999 (est.):		g		

Description of Exemption

Current Assessment Levied by the:
Maritime Administration, Dept. of Transportation

Name of Assessment:

Title XII War Risk Interim Binder Fees

Update:

New

Description of Assessment:

Fees to enroll for standby war risk insurance in the event of termination of commercial coverage

Type of Service:

Miscellaneous

Fund that receives collections:

Collected by:

American War Risk Agency

A war risk revolving fund

Used by:

DOT: MARAD

Commerce Type:

International

Payor:

Owner

Vessel Type:

Vessels meeting eligibility requirements

Flag Type:

U.S. and specified others (i)



Specific to Maritime Industry?

Formula and Frequency of Assessment:

Criteria based on flag, tonnage, vessel type, and type of insurance
This is a one-time fee

Collection Limitations:

Vessels must meet established criteria

Laws and Regulations:

46 U.S.C. App. §1281; 46 C.F.R. § 308.102

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$800		NA	NA
1997:	\$400			NA
1998:	\$325			NA
1999 (est.):	\$800			

Description of Exemption

MARAD	Footnote
a	MARAD received 11 applications for authority to transfer ownership of ships built with construction subsidies in fiscal year 1989 and 3 applications for each subsequent fiscal year through 1992. However, no money was collected for these applications.
b	No fees were collected or are expected to be collected.
c	MARAD's Title XI program of the Merchant Marine Act of 1936 promotes growth and modernization of the U.S. merchant marine by issuing guarantees of obligations to enable the financing and refinancing of vessels constructed in the United States and owned and operated by U.S. citizens or foreign nationals; Title XI guarantees can also be issued to help finance the modernization of U.S. ship yards.
d	Collections from this assessment are included in the Title XI application filing fee figure.
e	This figure also includes collections for the Title XI application filing fee, investigation fee, and the substitution of participants for the Title XI assistance application fee.
f	The \$1,000 fee previously paid for the commitment to execute Title XI guarantee applications is credited against the investigation fee.
g	Collections from this assessment are included in the guarantee fee for MARAD's Title XI Program figure.
h	The figure also includes collections from the Investigation Fee, the Substitution of Participants for XI Assistance Application Fee, and the Guarantee Fee for Title XI.
i	Specified other includes Panama, Honduras, Bahamas, Liberia, and Marshall Islands.

Current Assessment Levied by the:

National Marine Fisheries Services, National Oceanic and Atmospheric Administration

Name of Assessment:

Aquaculture Permit

Update:

New

Description of Assessment:

For harvesting coral and deposits of seed bed infrastructure

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

NOAA: NMFS

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$40 for first permit and \$10 endorsement
This assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery
Conservation and Management Act,
P.L. 94-265, 90 Stat. 351; 50 C.F.R.
§622.4.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$1	a	NA	NA
1997:	\$1			NA
1998:	\$1	a		NA
1999 (est.):	\$1	a		

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Atlantic Swordfish Permit Application Fee

Update:

Change

Description of Assessment:

For processing and issuing permits that allow vessels to fish for swordfish; possess swordfish for sale, trade, or barter or take swordfish by catch shoreward of the outer boundry of the Exclusive Economic Zone (EEZ)

Note: The EEZ consists of the waters from the seaward boundary of the United States to 200 nautical miles out

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$40 for submission of an application and \$10 as endorsement
Assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery Conservation and Management Act, P.L. 94-265, §303, 90 Stat. 351; 16 U.S.C. §1853(b) (1) and §1854; 50 C.F.R. §635.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		b		NA
1991:		b		NA
1996:	\$23		NA	NA
1997:	\$21			NA
1998:	\$44			NA
1999 (est.):	\$63			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Bluefin Tuna Permit Application Fee

Update:

Change

Description of Assessment:

For processing and issuing permits that allow recreational anglers to fish for or take Atlantic bluefin tuna

Note: Permits are linked to vessel identification

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$18 (will be going up to \$24 in fiscal year 2000 as part of the New Highly Migratory Species Permit)

Assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Atlantic Tunas Conservation Act, P.L. 94-70, §6, 89 Stat. 387; 16 U.S.C. §971, 1853 and 1854; 50 C.F.R. §635.4

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		b		NA
1991:		b		NA
1996:	\$0		NA	NA
1997:	\$378			NA
1998:	\$396			NA
1999 (est.):	\$414			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Bottomfish / Seamount Groundfish Permit

Update:

New

Description of Assessment:

For limited access fishing for bottomfish/seamount groundfish in the Western Pacific

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$65 (as of May 28, 1999)

This assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery
Conservation and Management Act,
P.L. 94-265, 90 Stat. 351; 50 C.F.R.
§660.61.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$0		NA	NA
1997:	\$0			NA
1998:	\$0			NA
1999 (est.):	\$1	a		

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Coastal Migratory Pelagic Fish Permit Application Fee

Update:

Change

Description of Assessment:

For processing and issuing permits that allow commercial fishing vessels to fish for Atlantic and Gulf groups of King and Spanish mackerel

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner or operator

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$40 for first permit and \$10 as endorsement (Includes commercial and charter boats)
Assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery Conservation and Management Act, P.L. 94-265, §303, 90 Stat. 351; 16 U.S.C. §1853(b) (1) and §1854; 50 C.F.R. §622.4.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		b		NA
1991:		b		NA
1996:	\$91		NA	NA
1997:	\$86			NA
1998:	\$62			NA
1999 (est.):	\$97			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Commercial Spiny Lobster Permit

Update:

New

Description of Assessment:

For fishing commercial spiny lobster in the Southeast

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**\$40 application fee and \$10 as endorsement
Assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery
Conservation and Management Act,
P.L. 94-265, 90 Stat. 351.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$6		NA	NA
1997:	\$5			NA
1998:	\$4			NA
1999 (est.):	\$5			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Fisheries Finance Program Application Fee

Update:

New (2 old assessments consolidated into 1 assessment)

Description of Assessment:

Annual direct loan fee on average outstanding balance of loan (c)

Note: This assessment now includes what in the previous report was labeled the (1) Fisheries Obligation Guarantee Program filing and commitment fee and the (2) Fisheries Obligation Guarantee Program refinancing/assumption fee. These two assessments have been collapsed into one assessment.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

Used by:

U.S. Treasury

The General Fund of the U.S.
Treasury**Commerce Type:**

Domestic and international

Payor:

Borrower

Vessel Type:

Fishing. Loans are also made for shoreside processing and aquaculture facilities.

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

2 percent of average outstanding balance
Assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Merchant Marine Act of 1936, c.858, §1103 as added June 23, 1938, ch. 600, §46, 52 Stat. 969, as amended by American Fisheries Promotion Act, P. L. 96-561, §220, 94 Stat. 3291; 46 U.S.C. App. §1271(a); 50 C.F.R. §253.16.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$1,900		NA	NA
1997:	\$1,878			NA
1998:	\$1,736			NA
1999 (est.):	\$1,735			

Description of Exemption

Current Assessment Levied by the:

National Marine Fisheries Services, National Oceanic and Atmospheric Administration

Name of Assessment:

Fisheries Obligation Guarantee Program Guarantee Fee

Update:

Change

Description of Assessment:

For covering the administrative costs and credit losses associated with the Fisheries Obligation Guarantee Program (c)

Type of Service:

Miscellaneous

Fund that receives collections:

Collected by:

NOAA: NMFS

Individual agency or private-sector service provider

Used by:

NOAA: NMFS

Commerce Type:

Domestic and international

Payor:

Owner

Vessel Type:

Fishing and support vessels such as fish-processing vessels

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The assessment amount is 2 percent of the average unpaid principal outstanding, and this assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Merchant Marine Act of 1936, c.858, §1103 as added June 23, 1938, ch. 600, §46, 52 Stat. 969, as amended by American Fisheries Promotion Act, P L. 96-561, §220, 94 Stat. 3291; 46 U.S.C. §1271; 50 C.F.R. §253.16 (b) 15 C.F.R. 902, 61 Fed. Reg. 19171, May 1, 1996.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$2,263			NA
1990:	\$2,753			NA
1991:	\$2,698			NA
1996:	\$1,900		NA	NA
1997:	\$1,878			NA
1998:	\$1,736			NA
1999 (est.):	\$1,735			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Foreign Fishing Observer Fee

Update:

Change

Description of Assessment:

For placing a NMFS observer aboard a foreign fishing vessel to ensure that the vessel harvests the correct type of fish and to record the poundage of fish harvested

Type of Service:

Physical Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

Individual agency or private-sector service provider

Used by:

NOAA: NMFS

Commerce Type:

International

Payor:

Owner or representative of the foreign fishing nation

Vessel Type:

Fishing

Flag Type:

Foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

This assessment totals the cost of placing the observer aboard the foreign fishing vessel and is billed in advance per deployment

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery Conservation and Management Act, P.L. 94-265, §204, 90 Stat. 342; 16 U.S.C. §1824(b) (1); 16 U.S.C. §1827(l); 50 C.F.R. §600.518 (d).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$1,711			NA
1990:	\$1,886			NA
1991:	\$115			NA
1996:	\$0		NA	NA
1997:	\$0			NA
1998:	\$54			NA
1999 (est.):	\$0			

Description of Exemption

Type of vessel: Fishing

Other: Foreign fishing vessels engaged only in recreational fishing.

Current Assessment Levied by the:

National Marine Fisheries Services, National Oceanic and Atmospheric Administration

Name of Assessment:

Foreign Fishing Permit Application Fee

Update:

Change

Description of Assessment:

For processing and issuing permits that allow foreign fishing vessels to fish in the EEZ

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

NOAA: NMFS

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

International

Payor:

Owner or representative of the foreign fishing nation

Vessel Type:

Fishing

Flag Type:

Foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$354 assessment is imposed annually

Collection Limitations:

Under section 204 (d) of the Magnuson-Stevens Act, if a similar fee is not charged by the foreign country on U.S. vessels, then the fee may be waived.

Laws and Regulations:

Magnuson-Stevens Fishery Conservation and Management Act, P.L. 94-265, §204, 90 Stat. 351; 16 U.S.C. §1824(b) (1); 50 C.F.R. §600.518 (a).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$200	d		NA
1990:	\$200	d		NA
1991:	\$100	d		NA
1996:	\$3		NA	NA
1997:	\$2			NA
1998:	\$4			NA
1999 (est.):	\$4			

Description of Exemption

Foreign fishing vessels engaged only in recreational fishing.

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Foreign Fishing Poundage Fee

Update:

Change

Description of Assessment:

For assessing foreign fishing vessels for the poundage of fish they harvest in the EEZ

Type of Service:

Miscellaneous

Fund that receives collections:**Collected by:**

NOAA: NMFS

Used by:

U.S. Treasury

The General Fund of the U.S.
Treasury**Commerce Type:**

International

Payor:

Owner or representative of the foreign fishing nation

Vessel Type:

Fishing

Flag Type:

Foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

Vessels are assessed a charge per metric ton that ranges from \$58.33 to \$274.61 depending on the type of fish.
This assessment is imposed quarterly.

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery Conservation and Management Act, P.L. 94-265, §104, 90 Stat. 342; 16 U.S.C. §1824(b) (1); 50 C.F.R. §600.518 (b) (1).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$2,700	d		NA
1990:	\$800	d		NA
1991:	\$400	d		NA
1996:	\$0		NA	NA
1997:	\$0			NA
1998:	\$0			NA
1999 (est.):	\$0			

Description of Exemption

Foreign fishing vessels engaged only in recreational fishing.

Current Assessment Levied by the:

National Marine Fisheries Services, National Oceanic and Atmospheric Administration

Name of Assessment:

Golden Crab Permit

Update:

New

Description of Assessment:

For fishing for Golden Crab in the South Atlantic

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

NOAA: NMFS

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$40 application fee and \$10 as endorsement
This assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery
Conservation and Management Act,
P.L. 94-265, 90 Stat. 351; 50 C.F.R. §
622.4.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$0		NA	NA
1997:	\$1	a		NA
1998:	\$0	e		NA
1999 (est.):	\$0	e		

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Groundfish Endorsements Permit

Update:

New

Description of Assessment:

For fishing groundfish with various gears in the Northwest
Note: Pacific Coast Groundfish Limited Entry Permits.

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$46 per permit and \$797 for Sablefish endorsement
This assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishing
Conservation and Management Act.
P.L. 94-265, 90 Stat. 342; 50 C.F.R.
§660.335.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$23		NA	NA
1997:	\$153			NA
1998:	\$23			NA
1999 (est.):	\$23			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

High Seas Fishing Compliance Act Permit Application

Update:

New

Description of Assessment:

For fishing on the high seas under the High Seas Fishing Compliance Act

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

Used by:

NOAA: NMFS

The General Fund of the U.S.
Treasury**Commerce Type:**

Domestic and international

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

Fees established by procedures in the NOAA Finance Handbook for determining administrative costs for each special product service.
The fee is imposed every 5 years

Collection Limitations:

None

Laws and Regulations:

High Seas Fishing Compliance Act, P. L. 104-43, 109 Stat. 397; 16 U.S.C. § 5501; 50 C.F.R. § 300.13.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$36,400		NA	NA
1997:	\$15,000			NA
1998:	\$8,800			NA
1999 (est.):	\$5,000			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Marine Mammal Authorization Program Registration Fee

Update:

Change

Description of Assessment:

For processing and issuing permits that allow for the lawful incidental taking of marine mammals in a Category I fishery (a fishery where there is frequent incidental taking of marine mammals) or Category II fishery (a fishery where there is an occasional incidental taking of marine mammals)

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

Individual agency or private-sector service provider

Used by:

NOAA: NMFS

Commerce Type:

Domestic

Payor:

Owner or operator

Vessel Type:

Fishing

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$30 annually

Collection Limitations:

None

Laws and Regulations:

Marine Mammal Protection Act, P. L. 92-522, §113(a), 86 Stat. 1042; 16 U.S.C. §1383a(b); 50 C.F.R. §229.5(b).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$242			NA
1990:	\$157			NA
1991:	\$330			NA
1996:	\$113		NA	NA
1997:	\$16			NA
1998:	\$22			NA
1999 (est.):	\$22			

Description of Exemption

Good cause

Current Assessment Levied by the:

National Marine Fisheries Services, National Oceanic and Atmospheric Administration

Name of Assessment:

Pelagics Permit

Update:

New

Description of Assessment:

For long-line fishing for pelagics in the Western pacific

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

NOAA: NMFS

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$73 (since Feb., 1999)

This assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery Conservation and Management Act, P.L. 94-265, 90 Stat. 351; 50 C.F.R. § 660.21.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$5		NA	NA
1997:	\$1			NA
1998:	\$1			NA
1999 (est.):	\$2			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Reef Fish Permit Application Fee

Update:

Change

Description of Assessment:

For processing and issuing permits that allow commercial fishing vessels to fish commercially for reef fish in the Gulf of Mexico

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$40 for the first (up to 100 traps for commercial) permit and \$10 per endorsement
Note: Includes commercial and charter boats
This assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery Conservation and Management Act, P.L. 94-265, §303, 90 Stat. 351; 16 U.S.C. §1853(b) (1) and §1854; 50 C.F.R. §622.4.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		b		NA
1991:		b		NA
1996:	\$47		NA	NA
1997:	\$45			NA
1998:	\$30			NA
1999 (est.):	\$44			

Description of Exemption

Current Assessment Levied by the:

National Marine Fisheries Services, National Oceanic and Atmospheric Administration

Name of Assessment:

Shark Permit

Update:

New

Description of Assessment:

For shark fishing in the Gulf, Atlantic, and Island areas in the Southeast

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:

Collected by:

NOAA: NMFS

The General Fund of the U.S.
Treasury

Used by:

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$40 for first permit and \$10 as endorsement
This assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery
Conservation and Management Act,
P.L. 94-265, 90 Stat. 351; 50 C.F.R.
§678.4.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$41		NA	NA
1997:	\$38			NA
1998:	\$24			NA
1999 (est.):	\$29			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Snapper-Grouper Permit Application Fee

Update:

Change

Description of Assessment:

For processing and issuing permits that allow commercial fishing vessels to fish commercially for snapper/grouper complex in the South Atlantic

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$40 for the first permit and \$10 per endorsement
This assessment is imposed annually
Note: Includes commercial and charter boats

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery Conservation and Management Act, P.L. 94-265, §303, 90 Stat. 351; 16 U.S.C. §1853(b) (1) and §1854; 50 C.F.R. §622.4 as revised in 57 Fed. Reg. 7886-7889, March 5, 1992.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		b		NA
1991:		b		NA
1996:	\$62		NA	NA
1997:	\$61			NA
1998:	\$40			NA
1999 (est.):	\$36			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

South Atlantic Rock Shrimp Permit

Update:

New

Description of Assessment:

For harvesting rock shrimp in the South Atlantic

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**\$40 for first permit and \$10 as endorsement
This assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery
Conservation and Management Act,
P.L. 94-265, 90 Stat. 50 C.F.R. § 622.4.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:	\$0	e	NA	NA
1997:	\$11			NA
1998:	\$6			NA
1999 (est.):	\$7			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Spiny Lobster Permit Application Fee

Update:

Change

Description of Assessment:

For processing and issuing permits that allow vessels to sell spiny lobsters in or from the EEZ or to be exempt from the daily catch and possession limit of spiny lobster in or from the EEZ

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner or operator

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$40 for the first permit and \$10 per endorsement
This assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery Conservation and Management Act, P.L. 94-265, §303, 90 Stat. 351; 16 U.S.C. §1853(b) (1) and §1854; 50 C.F.R. §640.4.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		b		NA
1991:		b		NA
1996:	\$17	f	NA	NA
1997:	\$17	f		NA
1998:	\$10	f		NA
1999 (est.):	\$15	f		

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Vessel Certificate of Inclusion Application Fee

Update:

Change

Description of Assessment:

For processing and issuing permits that grant permission to make intentional sets on dolphins to harvest yellowfish tuna in the eastern tropical Pacific Ocean

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic and international

Payor:

Owner or operator

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$200

This assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Marine Mammal Protection Act of 1972, P. L. 92-522, §104, 86 Stat. 1034; 16 U.S.C. §1374(a); 50 C.F.R. §216.24(c) (1).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$6			NA
1990:	\$6			NA
1991:	\$3			NA
1996:	\$2		NA	NA
1997:	\$1			NA
1998:	\$1			NA
1999 (est.):	\$1			

Description of Exemption

Current Assessment Levied by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Wreckfish Permit Application Fee

Update:

Change

Description of Assessment:

For processing and issuing permits that allow fishing for wreckfish in the EEZ, possession of wreckfish in or from the EEZ, off-loading of wreckfish from the EEZ, or selling wreckfish in or from the EEZ

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

NOAA: NMFS

The General Fund of the U.S.
Treasury**Used by:**

U.S. Treasury

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$34 application fee and \$7 replacement fee
This assessment is imposed annually

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery Conservation and Management Act, P.L. 94-265, §303, 90 Stat. 351; 16 U.S.C. §1853(b) (1) and §1854; 50 C.F.R. §622.4 as revised in 57 Fed. Reg. 7886-7889, March 5, 1992.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		b		NA
1990:		b		NA
1991:		b		NA
1996:	\$0	e	NA	NA
1997:	\$0	e		NA
1998:	\$0	e		NA
1999 (est.):	\$0	e		

Description of Exemption

NOAA	Footnote
a	The amount collected is more than \$500 and is rounded up to \$1,000.
b	Collections from this assessment are included in the Allowable Octocoral Permit Application Fee Figure (which has been deleted since the previous report).
c	Under the Fisheries Program, long-term financing is available to the U.S. fishing industry by providing a U.S. government guarantee of repayment of the debt portion of fishing vessel construction, reconstruction, or reconditioning costs and shoreside and aquaculture facilities.
d	This is a calendar year figure. NOAA/NMFS was unable to provide fiscal year figures.
e	The amount collected is less than \$500 and is rounded down to \$0.
f	This figure also includes collections from Spiny Lobster Tailing fees.

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Docking / Undocking Tug Service Fee

Update:

No change

Description of Assessment:

For providing tug services for vessels docking, undocking, or shifting at berth (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector
service provider**Used by:**

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$640 per tug at nearby docks, \$675 per hour for large tug, and \$140 per hour for small tug at long distance docks (minimum charge of 1 hour)

This assessment is imposed each time the service is provided

Collection Limitations:

None

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P.L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-11, Item #108.01.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$35,435	b		NA
1990:	\$40,233	b		NA
1991:	\$44,236	b		NA
1996:	\$50,103	b	NA	NA
1997:	\$49,111	b		NA
1998:	\$50,996	b		NA
1999 (est.):	\$53,300	b		

Description of Exemption

Other: Tug services provided for the convenience of PCC.

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Extraordinary Transit Tug Service Fee

Update:

No change

Description of Assessment:

For providing tug services for vessels with physical or operating deficiencies at the time of transit and for vessels that request tug service (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$975 per tug for each assist into or out of each set of locks and \$1,600 per tug for assistance in Gaillard Cut

This assessment is imposed each time the service is provided

Collection Limitations:

None

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-11, Item #108.01(3).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		C		NA
1990:		C		NA
1991:		C		NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Other: Tug services provided for the convenience of PCC

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

General Tug Service Fee

Update:

No change

Description of Assessment:

For towing through the Canal and other transit tug services not covered by a fixed fee (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector
service provider**Used by:**

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$675 per hour for large tug and \$140 per hour for small tug (minimum charge of 1 hour)
This assessment is imposed each time the service is provided

Collection Limitations:

None

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-13, Item #108.30-31.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		C		NA
1990:		C		NA
1991:		C		NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Other: Tug services provided for the convenience of PCC

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Handling Lines for Docking After Transit Service Fee

Update:

No change

Description of Assessment:

For PCC deckhands to assist the vessel crew in handling the cables that guide the vessel while docking after transit of the Canal (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$20 per PCC deckhand furnished

This assessment is imposed each time the service is provided

Collection Limitations:

None

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P.L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-17, Item #114.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$26,679	d		NA
1990:	\$29,754	d		NA
1991:	\$31,218	d		NA
1996:	\$37,363	d	NA	NA
1997:	\$37,799	d		NA
1998:	\$39,440	d		NA
1999 (est.):	\$40,400	d		

Description of Exemption

Other: Line-handling services provided for the convenience of PCC

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Handling Tug Line Service Fee

Update:

No change

Description of Assessment:

For PCC deckhands placed on board the vessel to receive and secure the ropes from the tugboats to the vessel (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

Charge per PCC deckhand ranges from \$15 to \$91 on the basis of the route of the vessel
This assessment is imposed each time the service is provided

Collection Limitations:

None

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-17, Item #114.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		e		NA
1990:		e		NA
1991:		e		NA
1996:		e	NA	NA
1997:		e		NA
1998:		e		NA
1999 (est.):		e		

Description of Exemption

Other: Line-handling services provided for the convenience of PCC

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Handling Vessel Lines Service Fee

Update:

No change

Description of Assessment:

For PCC deckhands to connect cables attached to locomotives located on the side walls of Panama Canal locks to vessels transiting the locks (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

(1) Vessels under 125 feet in length that completely transit the Canal: charge per person ranges from \$45 to \$64; (2) vessels 125 feet in length or longer that completely transit the Canal: fixed charge ranges from \$1,655 to \$3,200; and (3) vessels of all sizes partially transiting the Canal: charge per person ranges from \$45 to \$64, depending on the locks transited

This assessment is imposed each time the service is provided

Collection Limitations:

Vessels under 125 feet in length that completely transit the Canal up to a maximum of \$1,655.

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P.L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-15, Item #114.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		e		NA
1990:		e		NA
1991:		e		NA
1996:		e	NA	NA
1997:		e		NA
1998:		e		NA
1999 (est.):		e		

Description of Exemption

Other: Line-handling services provided for the convenience of PCC and provided when a vessel is permitted to transit the canal without PCC deckhands

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Launch Service Fee-Dredging Division

Update:

No change

Description of Assessment:

For Dredging Division launches used to transport officers of the National Port Authority of Panama or other Canal users as per agreement with the National Port Authority to assist small vessels in lieu of a tug and to visit vessels for inspection purposes as authorized by PCC (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

Charge per hour that ranges from \$41 to \$58, depending on the type of launch
This assessment is imposed each time the service is provided

Collection Limitations:

Minimum charge of 1 hour.

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-14b, Item #111.0.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$159	f		NA
1990:	\$130	f		NA
1991:	\$114	f		NA
1996:	\$66	f	NA	NA
1997:	\$81	f		NA
1998:	\$130	f		NA
1999 (est.):	\$1,087	f		

Description of Exemption

Other: Launch services provided for the convenience of PCC

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Launch Service Fee-Marine Bureau

Update:

No change

Description of Assessment:

For Marine Bureau launches used to transport officers of the National Port Authority of Panama or other canal users as per agreement with the National Port Authority to assist small vessels in lieu of a tug and to visit vessels for inspection purposes as authorized by PCC (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

Charge per hour of \$28 or \$55, depending on usage
This assessment is imposed each time the service is provided

Collection Limitations:

Minimum charge of 1 hour

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-14, Item #110.0.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		g		NA
1990:		g		NA
1991:		g		NA
1996:		g	NA	NA
1997:		g		NA
1998:		g		NA
1999 (est.):		g		

Description of Exemption

Other: Launch services provided for the convenience of PCC

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Offshore Pilotage Fee

Update:

No change

Description of Assessment:

For requiring or requesting a PCC pilot to board or debark a vessel outside the Atlantic breakwater (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

Assessment is based on the maximum draft (in feet) of the vessel
The assessment ranges from \$16.60 to \$25.70 per foot and is imposed each time the service is provided

Collection Limitations:

None

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-8, Item #106.31; 35 C.F.R. §105.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$2,126	h		NA
1990:	\$2,411	h		NA
1991:	\$2,663	h		NA
1996:	\$4,113	h	NA	NA
1997:	\$4,272	h		NA
1998:	\$5,000	h		NA
1999 (est.):	\$5,300	h		

Description of Exemption

Other: Offshore pilotage services provided for the convenience of PCC

Current Assessment Levied by the:

Panama Canal Commission

Name of Assessment:

Pilotage Fee at the Gamboa Mooring

Update:

No change

Description of Assessment:

For providing a PCC pilot at the PCC mooring facility at Gamboa (a)

Type of Service:

Physical services

Fund that receives collections:

Collected by:

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$295 for each time the service is provided

Collection Limitations:

None

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-8, Item #106.34; 35 C.F.R. §105.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		i		NA
1990:		i		NA
1991:		i		NA
1996:		i	NA	NA
1997:		i		NA
1998:		i		NA
1999 (est.):		i		

Description of Exemption

Other: Offshore pilotage services provided for the convenience of PCC

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Pilotage Fee During Dock Trial

Update:

No change

Description of Assessment:

For providing a PCC pilot for vessels undergoing a dock trial (a)
Note: Testing a vessel's engine while it is securely docked at a pier to see if repairs will hold is an example of a dock trial.

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector
service provider**Used by:**

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

\$295 per pilot for each time the service is provided

Collection Limitations:

None

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-8, Item #106.33; 35 C.F.R. §105.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		i		NA
1990:		i		NA
1991:		i		NA
1996:		i	NA	NA
1997:		i		NA
1998:		i		NA
1999 (est.):		i		

Description of Exemption

Other: Offshore pilotage services provided for the convenience of PCC

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Port Pilotage Fee

Update:

No change

Description of Assessment:

For providing a PCC pilot to dock, moor, or anchor a vessel after transiting the Canal or to pilot the vessel beyond Canal waters (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

Assessment is based on the maximum draft (in feet) of the vessel and the assessment ranges from \$16.60 to \$25.70 per foot and is imposed each time the service is provided

Collection Limitations:

None

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-6, Item #106.10(4); 35 C.F.R. §105.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		i		NA
1990:		i		NA
1991:		i		NA
1996:		i	NA	NA
1997:		i		NA
1998:		i		NA
1999 (est.):		i		

Description of Exemption

Other: Offshore pilotage services provided for the convenience of PCC

Current Assessment Levied by the:

Panama Canal Commission

Name of Assessment:

Sea Tug Service

Update:

No change

Description of Assessment:

For providing tug services involving a trip to sea (a)

Type of Service:

Physical services

Fund that receives collections:

Collected by:

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

\$740 per hour

This assessment is imposed each time the service is provided

Collection Limitations:

Minimum charge of 5 hours

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-13, Item #108.40.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		C		NA
1990:		C		NA
1991:		C		NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Special Admeasurement Service Fee

Update:

No change

Description of Assessment:

For determining a vessel's Panama Canal tonnage and issuing a Panama Canal tonnage certificate when the vessel arrives without proper documentation (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

100 percent of a service charge based on Panama Canal Gross Tonnage for vessels making their initial transit, and 50 percent of service charge based on Panama Canal Gross Tonnage for reissuance of a certificate
This is a one-time assessment unless the vessel is altered or the rules of admeasurement change

Collection Limitations:

Minimum charge of \$135 in each case

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-14, Item #105.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$228			NA
1990:	\$240			NA
1991:	\$279			NA
1996:	\$158		NA	NA
1997:	\$282			NA
1998:	\$243			NA
1999 (est.):	\$240			

Description of Exemption

If service is considered minor or if extenuating circumstances

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Standard Tug Service

Update:

No change

Description of Assessment:

For providing tug services to vessels coming into and out of each set of locks and through Gaillard Cut (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

All-inclusive fixed fee that ranges from \$0 to \$7,450 and is based on vessel size
This assessment is imposed each time the service is provided

Collection Limitations:

None

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L.96-70, §1101, 93 Stat. 489; PCC Tariff, p.1-10, Item #108.01(2).

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:		C		NA
1990:		C		NA
1991:		C		NA
1996:		C	NA	NA
1997:		C		NA
1998:		C		NA
1999 (est.):		C		

Description of Exemption

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Tolls For Transit

Update:

Change

Description of Assessment:

For utilizing the Panama Canal Waterway (a)

Type of Service:

Physical services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector service provider

Used by:

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

(1) On merchant vessels, yachts, army and navy transports, colliers, hospital ships, and supply ships, when carrying passengers or cargo, \$2.57 per PC/UMS Net Ton; (2) On vessels in ballast without passengers or cargo, \$2.04 per PC/UMS Net Ton; (3) On other floating craft, including warships, other than transports, colliers, hospital ships and supply ships, \$1.43 per ton of displacement; and (4) On small vessels which, under paragraphs (a) through (c), are assessed a toll of less than \$1,500, a minimum toll based upon their length overall in accordance with the following: (a) up to 15.25 meters (50 ft), \$500; (b) more than 15.24 meters and up to 24.38 meters (80 feet), \$750; (c) more than 24.38 meters up to 30.48 meters (100 feet), \$1,000; and (d) more than 30.48 meters, \$1,500

This assessment is imposed for each transit

Collection Limitations:

None

Laws and Regulations:

Panama Canal Treaty, September 17, 1977, United States-Panama, Art III, para 2d, T.I.A.S. No. 10030, at 51 as implemented by Panama Canal Treaty Act of 1979, P. L. 96-70, §1601 and §1602, 93 Stat. 489, as amended by Panama Canal Revolving Fund Act, P.L.100-203, §5247; 22 U.S.C. §3791-3792; PCC Tariff, p.1-3, Item #104.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$329,766			NA
1990:	\$355,558			NA
1991:	\$374,625			NA
1996:	\$486,688		NA	NA
1997:	\$493,386			NA
1998:	\$545,738			NA
1999 (est.):	\$567,239			

Description of Exemption

Type of vessel: (1) Colombian vessels of war, (2) government of Panama-owned small craft carrying out jurisdictional and civic responsibilities such as providing police services, and (3) vessels transiting for repairs in PCC facilities.

Current Assessment Levied by the:**Panama Canal Commission****Name of Assessment:**

Transit Booking Fee

Update:

Change

Description of Assessment:

For reserving a time for vessels to transit the Panama Canal (a)

Type of Service:

Admin. Proc. & Assoc. Services

Fund that receives collections:**Collected by:**

PCC

Individual agency or private-sector
service provider**Used by:**

PCC

Commerce Type:

International

Payor:

Owner or operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

The booking fee for reserving a transit slot for a vessel shall be \$0.26 per PC/UMS Net Ton, or \$1,500, whichever is greater, or shall be \$0.23 per Panama Canal Gross Ton, plus \$0.26 per PC/UMS Net Ton of on-deck capacity, or \$1500, whichever is greater
This assessment is imposed each time the service is provided (k)

Collection Limitations:

Minimum fee of \$ 1,500

Laws and Regulations:

22 U.S.C. §3811; 35 C.F.R.
§103.8(e); PCC Tariff, p. 1-2(a) Item
#103.0.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$4,452			NA
1990:	\$10,473			NA
1991:	\$13,093			NA
1996:	\$21,757		NA	NA
1997:	\$26,269			NA
1998:	\$34,164			NA
1999 (est.):	\$35,200			

Description of Exemption

PCC	Footnote
a	The Panama Canal Commission (Commission) is a wholly owned government corporation in the executive branch of the U.S. Government, provided for in the Panama Canal Treaty of 1977 (Treaty) and established by the Panama Canal Act of 1979, as amended, enacted on September 27, 1979. The Commission was established to carry out the responsibility of the United States with respect to the Panama Canal under the treaty. In fulfilling these obligation, the commission manages, operates, and maintains the Canal, its complementary works, installations, and equipment, and provides for the orderly transit of vessels through the Canal. The Commission will perform these functions until the Treaty terminates on December 31, 1999, at which time, the Republic of Panama will assume full responsibility for the Canal, which shall be turned over in operating condition and free of liens and debts, except as the two parties may otherwise agree.
b	This figure also includes collections from the Extraordinary Tug Service Fee, General Tug Service Fee, Sea Tug Fee, and Standard Tug Fee.
c	Collections from this assessment are included in the Docking/Undocking Tug Service Fee figure.
d	This figure also includes collections for the Handling-Tug-Lines Service Fee and the Handling-Vessel-Lines Service fee.
e	Collections from this assessment are included in the Handling-Lines-for-Docking-After Transit Service Fee figure.
f	This figure also includes the amount collected for the Launch Service Fee-Marine Bureau.
g	Collections from this assessment are included in the Launch Service Fee-Dredging Division figure.
h	This figure also includes collections from the Pilotage Fee at the Gamboa Mooring, the Pilotage Fee During Dock Trial, and the Port Pilotage Fee.
i	Collections from this assessment are included in the Offshore Pilotage Fee Figure.
j	On small vessels which, under paragraphs (a) through (c), would be assessed a toll of less than \$1,500, a minimum toll based upon their length overall in accordance with the following: (1) up to 15.25 meters (50 ft), \$500; (2) more than 15.24 meters and up to 24.38 meters (80 feet), \$750; (3) more than 24.38 meters up to 30.48 meters (100 feet), \$1,000; and (4) more than 30.48 meters, \$1,500.

k Whenever the total number of vessels awaiting transit at both termini of the Canal is projected to be, within 2 days, 90 or more vessels for at least 2 consecutive days, any vessel booked for transit that transits the Canal while this condition is in effect shall automatically be assessed a booking fee of \$0.69 per PC/UMS Net Ton, or \$4,000, which ever is greater.

Assessment Proposed by the:
Administration

Name of Assessment:

Harbor Services User Fee

Update:

Proposed

Description of Assessment:

Cost-based user fee for vessels to use federal ports

Type of Service:

Physical Services

Fund that receives collections:

Collected by:

To be determined

A trust fund at the U.S.Treasury

Used by:

Army Corps of Engineers and
Saint Lawrence Seaway

Commerce Type:

Domestic and international

Payor:

Operator

Vessel Type:

Passenger and nonpassenger

Flag Type:

U.S. and foreign



Specific to Maritime Industry?

Formula and Frequency of Assessment:

The user fee is determined by four elements, the vessel's (1) service category (i.e., bulker, tanker, general, and cruise), (2) size (i.e., "vessel capacity units"--VCUs--typically based on a vessel's net tonnage), (3) movement frequency (i.e. number of ports used), and (4) operational characteristics. Bulker vessels would be charged \$0.12 per VCU; Tankers \$0.28 per VCU; General, \$2.74 per VCU; and Cruise, \$0.12 per VCU

Collection Limitations:

None

Laws and Regulations:

President's Budget of the United States
Government - Fiscal Year 2000, p. 910

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:	\$0			NA
1990:	\$0			NA
1991:	\$0			NA
1996:	\$0		NA	NA
1997:	\$0			NA
1998:	\$0			NA
1999 (est.):	\$0			

Description of Exemption

(1) Port use by vessels of the U.S. or any agency thereof; (2) port use for intraport movements; (3) vessels transporting commercial cargo from the mainland United States to Alaska, Hawaii, or any possession of the United States, for ultimate use or consumption in Alaska, Hawaii, or any possessions of the United States; and (4) vessels transporting commercial cargo from Alaska, Hawaii, or any possession of the U.S. to the mainland U.S. for ultimate use or consumption in the mainland United States (Except Alaskan crude oil); (5) vessels transporting commercial cargo within Alaska, Hawaii, or possession of the United States; and (6) vessels transporting passengers operating solely within the state waters of Alaska or Hawaii and adjacent international waters

Assessment Proposed by the:**National Marine Fisheries Services, National Oceanic and Atmospheric Administration****Name of Assessment:**

Central Registry for Limited Access Permits

Update:

Proposed

Description of Assessment:

This program would be funded by fees for title perfections and other services

Type of Service:

Miscellaneous

Fund that receives collections:**Collected by:**

NOAA: NMFS

Individual agency or private-sector
service provider**Used by:**

NOAA: NMFS

Commerce Type:

Domestic

Payor:

Owner

Vessel Type:

Fishing

Flag Type:

U.S.

**Specific to Maritime Industry?****Formula and Frequency of Assessment:**

The formula is yet to be determined, however, it will be imposed upon transfer of title

Collection Limitations:

None

Laws and Regulations:

Magnuson-Stevens Fishery Conservation and Management Act, P.L. 94-265, 305 (h), 90 Stat. 351; Sustainable Fisheries Act of 1996, P. L. 104-297, 110 Stat. 3576; 62 Fed. Reg. 10249, March 6, 1997 would amend 50 C.F.R. Part 600.

Fiscal Year	Collections (in thousands)	Foot note	Foot note	Foot note
1989:				NA
1990:				NA
1991:				NA
1996:			NA	NA
1997:				NA
1998:				NA
1999 (est.):	\$0			

Description of Exemption